

Mechanical ventilation in patients with SARS-CoV-2 pneumonia

Abstract

The coronavirus (CoV) belongs to a family of viruses that can cause a variety of clinical presentations, including catarrhal symptoms, cough, fever, respiratory distress, and conditions such as pneumonia, among others. Worldwide, more than 29million confirmed COVID-19 cases have been reported with 926,544 deaths, 51% of which correspond to the Americas. Careful observations have hypothesized that patients present with different clinical patterns that depend mainly on 3 factors: (1) severity of infection and host response, physiological reserve and comorbidities (2) ventilatory response to hypoxemia and (3) the delay from the onset of symptoms and evaluation in the hospital. About 4.4% of patients require IMV during the first 14days after symptoms start and reach a high mortality rate. This disease mainly shows two behaviors related to time: phenotype L, Low elastance [high compliance] and phenotype H, High elastance [low compliance]. The L phenotype, with low V/Q ratio, low lung weight, and low potential for recruitment occurs early in the disease. The H phenotype is characterized by low compliance, high shunt levels, high lung weight, and high potential for recruitment, and it usually manifests within 7days. In our experience, there would be a third group that progresses to early pulmonary fibrosis characterized by very low compliance, making the ventilatory process exceedingly difficult (they require a low PEEP [6-8cmH2O] and very low VT 4-6ml/kg predicted body weight. These patients retain CO₂ and may require extracorporeal CO₂ removal (ECCO₂R). Although SARS CoV-2 pneumonia does not evolve as a classic ARDS, emerging evidence suggests that ARDS associated with CoVID-19 evolves with acute respiratory failure and lung mechanics typical of a historical ARDS. One aspect that could differentiate them is related to the levels of D-Dimer (DD). The subgroup of patients with DD concentrations higher than the median and a static compliance equal to or less than the median (HDLC: High D-dimers, low compliance) have at 28-days mortality higher than the rest of the groups, such as: high DD with high compliance (HDHC), low DD with low compliance (LDLC) and low DD with high compliance (LDHC). The 28-day mortality for HDLC was 56% and 27% for LDHC Up to the present time, IMV with open lung approach (OLA) has not been shown to reduce mortality; it has only accomplished to improve oxygenation and reduce driving pressure, without exerting deleterious effects such as barotrauma or increases in mortality.

Keywords: coronavirus; ECCO₂R, extracorporeal CO₂ removal, DD, D-dimer, open lung approach, IMV, invasive mechanical ventilation, PBW, predicted body weight, PRM, pulmonary recruitment maneuvers

Abbreviations: CoV, coronavirus; ECCO₂R, extracorporeal CO₂ removal; DD, D-dimer; OLA, open lung approach; WHO, world health organization; IMV, invasive mechanical ventilation; PBW, predicted body weight; PRM, pulmonary recruitment maneuvers

Mini review

The coronavirus (CoV) belongs to a family of viruses that can cause a variety of clinical presentations, including catarrhal symptoms, cough, fever, respiratory distress, and conditions such as pneumonia, among others.^{1,2} World Health Organization (WHO) used the new term coronavirus 2019 (CoVID-19) to refer to a coronavirus that affects the lower respiratory tract.³ This virus emerges in Wuhan, China, in late 2019.^{4,5} The current reference name for the virus disease is severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2). It is a zoonotic disease with an animal reservoir and evidence of person-to-person transmission.⁶

Transmission of this virus occurs mainly through respiratory droplets, which resemble the spread of the flu. With droplet

transmission, the virus is released in respiratory secretions when a person with an active infection coughs, sneezes, or speaks, and can infect another person if it comes into direct contact with the mucous membranes.⁷ Infection can also occur if a person touches an infected surface and then touches his eyes, nose, or mouth.

Worldwide, more than 29million confirmed COVID-19 cases have been reported with 926,544 deaths, 51% of which correspond to the Americas.⁸ The average incubation period is 5.2days, and the first signs include nonspecific flu-like symptoms, with a variable condition of the respiratory tract, which can manifest as tracheobronchitis, bronchiolitis, in some cases reaching a very serious organizing pneumonia that requires invasive mechanical ventilation (IMV).⁹

Some groups based on careful observations have hypothesized that patients present with different clinical patterns that depend mainly on 3 factors: (1) severity of infection and host response, physiological reserve and comorbidities (2) ventilatory response to hypoxemia and (3) the delay from the onset of symptoms and evaluation in the hospital. About 4.4% of patients require IMV during the first 14days after symptoms start and reach a high mortality rate (40%).^{10,11}

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This disease mainly shows two behaviors related to time: phenotype L, Low elastance [high compliance] and phenotype H, High elastance [low compliance]. The L phenotype, with low V/Q ratio, low lung weight, and low potential for recruitment occurs early in the disease. On the other hand, the H phenotype is characterized by low compliance, high shunt levels, high lung weight, and high potential for recruitment, and it usually manifests within 7 days.¹²⁻¹⁴ In our experience, there would be a third group that progresses to early pulmonary fibrosis characterized by very low compliance, making the ventilatory process exceedingly difficult (they require a low PEEP [6-8 cmH₂O] and very low VT 4-6ml/kg predicted body weight [PBW]). These patients retain CO₂ and may require extracorporeal CO₂ removal (ECCO₂R).^{15,16}

In our group (52 patients), before considering pronation and after the patients had been sufficiently resuscitated (MAP > 65mmHg, delta pulse pressure [delta PP] <10% or central venous saturation [SvcO₂] >70%), they underwent to pulmonary recruitment maneuvers (PRM) in pressure-controlled mode (with 15cmH₂O driving pressure, respiratory frequency of 20 per minute and I: E ratio of 1: 1), with increases in PEEP of 2 in 2 cmH₂O until reaching 25cmH₂O. If tolerated, the PEEP was raised to 30cmH₂O and said pressure was maintained for 2 to 3minutes, then it was returned to 25 cmH₂O, to later descend in steps (2 cmH₂O at a time), until a PEEP level was achieved that would ensure better compliance.¹⁷⁻²¹ If patients did not tolerate the hemodynamic pre-conditioning test, or if increasing PEEP produced an increase in driving pressure (plateau-PEEP), PRM was interrupted.^{13,14}

In our population, the mean tidal volume (VT) at admission was 389.8±42, which corresponds to a VT of 5.93±0.9 of PBW. 84.6% started ventilation in volume-controlled mode and 15.4% in pressure-controlled mode. The mean dose of norepinephrine required upon admission to the ICU was 0.058±0.03µg/kg/minute.

In general, 10% of patients admitted to the ICU develop ARDS and despite the advances made in lung protection ventilatory strategies, mortality persists between 30% and 40%.^{22,23} Although SARS CoV-2 pneumonia does not evolve as a classic ARDS, emerging evidence suggests that ARDS associated with CoVID-19 evolves with acute respiratory failure and lung mechanics typical of a historical ARDS.^{24,25} One aspect that could differentiate them is related to the levels of D-Dimer (DD). The subgroup of patients with DD concentrations higher than the median and a static compliance equal to or less than the median (HDLC: High D-dimers, low compliance) have at 28-days mortality higher than the rest of the groups, such as: high DD with high compliance (HDHC), low DD with low compliance (LDLC) and low DD with high compliance (LDHC). The 28-day mortality for HDLC was 56% and 27% for LDHC.²⁶

Ventilated patients in PP represents about half of our ventilated patients (46%) and are characterized by high severity scores (APACHE II >14 points) and by having greater gasometric compromise on admission than those who remained in supine position (SP). Of the patients who were pronated, 90% responded to the maneuver showing an increase in PaO₂/FiO₂ of 53.5±3.7mm Hg and a progressive gasometric improvement until day 7, however, this was not reflected in mortality, this group comprising 75% of total hospital deaths (12/16). The response to the prone position reported in the literature is 70%.²⁷

We evaluated thoracic-pulmonary mechanics and be able to identify those patients who are described as L phenotype (in our group, compliance >37ml/cmH₂O) and those who showed H phenotype (<37ml/cmH₂O), however, the clinical behavior does

not coincide with what has been described.¹⁴ In our series, both phenotypes required similar levels of PEEP (~12cmH₂O) and the increase in PaO₂/FiO₂ between admission and the first day of IMV was not statistically different: in phenotype L there was an increase in PaO₂/FiO₂ from 137.3±36.4 to 195.4±67.6 (58.1±31.1mmHg) and in phenotype H from 138.7±40.6 to 194.4±66.3 (55.7±25.7mmHg) p= NS. We can say that in phenotype H 15 of 26 patients (58%) were pronated and only 9/26 (34%) in phenotype L, p =NS.

It should be noted that the attending physicians identified the phenotypes by trying to maintain the driving pressure at non-harmful levels for the lung tissue (<15cmH₂O), setting lower VT in the H phenotype (374.6±43.4ml) than in phenotype L (405.4±34.6 ml), p=0.00002.^{14,26}

The total number of patients who had to undergo PP had an admission PaO₂/FiO₂ of 125.2±35.8mmHg. Once in the prone position, the mean PEEP was 13.6±4.6 and at 72hours it was 15.1±4.9 cmH₂O.¹⁴ This could be attributed to the fact that the attending physicians, considering of low PaO₂/FiO₂, applied higher levels of PEEP. In consequence, compliance alone was not a determining factor in the expected gasometrical response, and PaO₂/FiO₂ continues to be the best predictor of severity in these patients. It must be considered that in these patients the pre-PP PEEP was already programmed above 10cmH₂O.²⁷ Prone Position itself is a recruitment maneuver and probably less harmful than using high airway pressures to overcome the critical opening pressures of the postero-basal territories of the lung.²⁸

Up to the present time, IMV with open lung approach (OLA) has not been shown to reduce mortality, it has only accomplished to improve oxygenation and reduce driving pressure, without exerting deleterious effects such as barotrauma or increases in mortality.²⁹ Other randomized studies with non-physiological adjustments of PEEP levels (LOVS, EXPRESS, ALVEOLI), have shown to reduce the days of stay in IMV, the duration of organic dysfunctions and the need for extraordinary ventilatory support therapies, effects that were not observed in our patients.³⁰⁻³²

Our analysis shows that the characteristics of our patients requiring IMV for SARS-Co-2 pneumonia are different from patients admitted for other causes. The APACHE II is not higher than that previously reported in our country for ARDS of different causes.²⁴ However, respiratory failure is severe (mean alveolus arterial oxygen difference at admission of 271±100) and occupies an important place in the distribution of severity scores, demonstrating that respiratory failure is the main cause of admission of these patients.

These patients were admitted with PaO₂/FiO₂ of 138.0±38.2 with PEEP ≥5cmH₂O, constituting a severe ARDS from admission (PaO₂/FiO₂ <150mmHg) and the hospital mortality was 30,8%. In addition, a significant percentage behave with refractory hypoxemia that requires early PP. Considering that a significant percentage of patients who adopted prone position respond to the maneuver,³³ this improvement does not guarantee a good hospital outcome in SARS-CoV-2 pneumonia.

Conclusions

To program invasive ventilation in patients with SARS CoV-2 pneumonia, we have to identify the patient's phenotype (H or L). Each phenotype has a different potential for recruitment and therefore has a different response to PEEP. It must be taken into account that some patients evolve early with pulmonary fibrosis and low compliance,

making the ventilation process very difficult. If we associate the levels of DD (D dimer) with the compliance of the respiratory system we can improve the ability to predict mortality at 28 days.

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Conflicts of interest

The authors declare there are no conflicts of interest related to the article.

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