Comparison of supervised and unsupervised models of physical therapy in total knee arthroplasty: systematic review

Abstract

Background: Total knee arthroplasty (TKA) is an effective surgical treatment for advanced osteoarthritis. TKA must always be associated with postoperative physical rehabilitation provided by a physical therapist in order to restore functionality. As TKA-related health costs increase, an efficient rehabilitation program is needed. However, the physical therapy models for TKA patients are questionable.

Objective: The objective of the systematic review is to analyze the evidences that deal with different models of physical rehabilitation and compare the effectiveness of the supervised treatment as well as the in home exercise programs without supervision.

Methods/design: The databases Pubmed, Embase, Lilacs, The Cochrane Library, and Trip were searched for randomized clinical trials in any language and publication medium. The outcomes of interest were muscle strength, physical mobility and range of motion, atrophy, joint pain, and quality of life. The Cochrane Handbook for Systematic Reviews of Interventions was used for assessing the methodological quality of the studies.

Results: The search returned 1133 studies. Of these, only five were included. Although the studies were heterogeneous, three studies investigated knee flexion range of motion, but this outcome did not differ statistically between groups after six months.

Conclusion: In conclusion, the evidence for supervised and unsupervised models of physical therapy for TKA patients is inconclusive because important outcomes have not been investigated, and the pertinent studies have low methodological quality.

Keywords: total knee arthroplasty, outpatient care, rehabilitation

Abbreviations: OA, osteoarthritis; TKA, total knee arthroplasty; KOA, knee osteoarthritis; WOMAC, western Ontario master universities; KOOS, knee injury osteoarthritis outcome score; KSS, knee society score; OKS, oxford knee score;VAS, visual analogue scale; CPM, continuous passive motion; NMES, neuromuscular electrical stimulation; ROM, range of motion; SD, standard deviation

Background

Osteoarthritis (OA) is the most common form of arthritis, a chronic disease that affects the joints and progressively changes joint cartilage. OA has a complex pathogenesis, since the imbalance that occurs in cartilage and bone tissue leads to progressive erosion, osteophytes, bone marrow injury, and synovial membrane inflammation. Changes in biomechanical and biochemical patterns favor the emergence of OA.

The knee has the highest OA prevalence of all joints. Knee OA (KOA) affects 75% of the population aged more than 65 years, making it a public health problem. If not properly treated in the early stages, advanced KOA causes intense pain, functional disability, and quality of life deterioration, requiring surgical treatment. When the symptoms of KOA are not controlled, the replacement of the cartilage for an articular or joint prosthetics is recommended to minimize the incapabilities of the arthropathy. Total Knee Arthroplasty (TKA) is just the first step in the process of rehabilitation. Orientations as far as health and therapeutic exercise programs are concerned to recover the muscle strength as well as the movement amplitude (ROM) to control painful and inflammatory symptoms are extremely important to potentialize the results of the orthopedic surgery.

With the increasing prevalence of surgery health systems may become overwhelmed with the demands and costs of rehabilitation, leading to economic and social pressures to reduce intervention time and waiting lines. Hence, new outpatient care models and home exercise guidance are emerging, but it is essential to determine the efficacy, safety and effectiveness of exercises without a therapist’s supervision.

Evidences show that in-home therapeutic exercises without professional supervision related to patients submitted to different knee surgeries present positive clinical results and can provide cost reduction. But the majority of patients with TKA are elderly people and need physiotherapy support during the rehabilitation process. A systematic review evaluated the efficiency of physiotherapy exercises after TKA. Despite the small or few samples of the studies included, good physical and functional outcomes were established in the short-term. Determining which model of treatment is effective in the recovery of patients with TKA can contribute to clinical guidelines and health policies. The objective of the systematic review is to analyze randomized clinical trials that tackle different models of rehabilitation.
and compare the effectiveness of the supervised treatment as well as the in-home exercise programs without supervision in patients submitted to TKA.

**Methods/design**

**Search strategy and selection criteria**

A systematic search was performed in the electronic databases MEDLINE via PubMed, Embase via Elsevier, Lilacs, Trip Database, and Cochrane Library for randomized clinical trials that compared supervised and unsupervised physical therapy interventions in TKA patients. The search terms were Arthroplasty, Replacement, Knee OR Total Knee Replacements AND Physical Therapy Modalities OR Physical Therapy Techniques AND randomized controlled trial OR controlled clinical trial. The pertinent clinical trials were selected regardless of language or publication medium. The search strategy is presented in Table 1. The study was approved by the Ethics Committee of the institution (CEP0422/2015) and was registered (crd.york.ac.uk/PROSPERO-CRD:42016050226).

**Table 1** Characteristics of search strategies in bibliographic databases

<table>
<thead>
<tr>
<th>Medline via pubmed</th>
<th>Lilacs – literatura latino america e do caribe</th>
<th>Trip database</th>
<th>EMBASE</th>
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</thead>
<tbody>
<tr>
<td>#1 &quot;Arthroplasty, Replacement, Knee&quot;[Mesh] OR (Arthroplasties, Replacement, Knee) OR (Arthroplasty, Knee Replacement) OR (Knee Replacement Arthroplasties) OR (Knee Replacement, Total) OR (Replacement Arthroplasties, Knee) OR (Replacement Arthroplasty, Knee) OR (Replacement, Total Knee) OR (Knee Replacements, Total) OR (Replacements, Total Knee) OR (Total Knee Replacements) OR (Total Knee Replacement) OR (Arthroplasties, Knee Replacement) OR (Knee Replacement, Arthroplasty)</td>
<td>#1 mh:&quot;Artroplastia do Joelho&quot; OR (Substituição Total do Joelho) OR (Arthroplastia de Reemplazo de Rodilla) OR (Arthroplasty Replacement Knee) OR MH:EO4.555.110.110.115$ OR MH:EO4.650.110.115$</td>
<td>(Arthroplasty replacement, Knee) &amp; (Physical therapy modalities)</td>
<td>#1 &quot;knee arthroplasty&quot;/exp OR (arthroplasty, replacement, knee) OR (arthroplasty, knee) OR (knee arthroplasties) OR (knee joint replacement) OR (knee joint replacements) OR (knee reconstruction) OR (knee replacement) OR (knee replacements) OR (reconstruction, knee)</td>
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<tr>
<td>#2 &quot;Physical Therapy Modalities&quot;[Mesh] OR (Modalities, Physical Therapy) OR (Modality, Physical Therapy) OR (Physical Therapy Modality) OR (Physiotherapy (Techniques)) OR (Physiotherapies (Techniques)) OR (Physical Therapy Techniques) OR (Physical Therapy Technique) OR (Techniques, Physical Therapy)</td>
<td>#2 MH:&quot;Modalidades de Fisioterapia&quot; OR (Fisioterapia (Técnicas)) OR (Técnicas Fisioterápicas) OR (Modalidade$ de Fisioterapia) OR (Physical Therapy Modalities)</td>
<td></td>
<td>#2 'physiotherapy'/exp OR (physical therapy) OR (physical therapy (specialty)) OR (physical therapy modalities) OR (physical therapy service) OR (physical therapy specialty) OR (physical therapy techniques) OR (physical treatment) OR (physio therapy) OR (physiotherapy department) OR (therapy, physical)</td>
</tr>
<tr>
<td>#3 ((randomized controlled trial [pt]) OR (controlled clinical trial [pt]) OR (randomized [tiab]) OR (placebo [tiab]) OR (drug therapy [sh]) OR (randomly [tiab]) OR (trials [tiab]) OR (groups [tiab])) AND (humans [mh])</td>
<td>#3 #1 and #2</td>
<td></td>
<td>#3 random* OR factorial* OR crossover* OR cross AND over* OR placebo* OR 'placebo'/exp OR 'placebo/express OR double* AND 'blind'/exp OR 'blind'/express OR randomized AND controlled AND trial OR single AND 'blind'/express OR crossover AND procedure OR double* AND 'blind'/express AND procedure OR randomized AND controlled AND trial OR single AND 'blind'/express AND procedure OR (controlled AND clinical AND trial) OR (clinical AND trial) AND [embase]</td>
</tr>
</tbody>
</table>

**Eligibility criteria**

The inclusion criteria were:

i. Study design: randomized clinical trials (RCTs) (RCTs) (Level I evidence).

ii. Population: males and females of any age submitted to TKA.

iii. Interventions: all physical therapy interventions that compared supervised and unsupervised care.

iv. Exclusion: studies that used the internet for voice communication or videoconferencing.

After excluding the duplicates, two reviewers independently assessed the titles and abstracts of all clinical trials returned by the search, taking down the study characteristics (participants, interventions, comparisons, and outcomes). The pertinent studies were fully read and marked as included or excluded. If the two reviewers disagreed a third researcher was consulted.

**Types of outcomes**

The primary outcomes were related to physical and functional aspects: muscle strength, physical function, ROM and atrophy. The secondary outcomes were joint pains and quality of life.
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Risk of bias assessment

The criteria established by the Cochrane Handbook for Systematic Reviews of Interventions, which measure risk of bias, were used for assessing the methodological quality of the reviewed studies. The assessed items were random sequence generation, allocation concealment, blinding of participants and personnel, incomplete outcome data, and selective reporting, among others. The methods are classified by risk of bias, which can be low (the established criteria were met, consolidating the results); high (a plausible bias is present, reducing result reliability); or unclear (indicates either lack of information or uncertainty over the potential for bias).10

Data extraction and statistics

The reviewed data were tabulated for analysis and structured summarization, and analyzed by the software Review Manager (Revman, version 5.3, Cochrane Collaboration), which calculated the difference between the means of the continuous outcomes and the 95% confidence interval (95%CI) for each estimated point. The patient was the unit of analysis. The statistical heterogeneity of the studies was determined by calculating the statistic $I^2$ and using the chi-square test. When the statistic $I^2$ exceeded 50% and the p-value of the chi-square test was equal to or below 0.10, the studies were considered significantly heterogeneous. The random effects model was used for the meta-analysis of heterogeneous studies. The fixed effects model was used for analyzing studies that were not significantly heterogeneous. The studies were analyzed by subgroups based on follow-up occasion:

i. Two weeks
ii. Six weeks
iii. Three months
iv. Six months
v. One year

Results

Study characteristics

Figure 1 shows a flow chart of the study selection process. A total of 1133 studies were found. The Embase database returned the highest number of studies, 586, followed by the PubMed database (230 studies). The Lilacs, Cochrane Library, and Trip databases returned 317 studies. After the exclusion of 121 duplicates, the titles and abstracts of 1012 studies were analyzed for eligibility, regardless of language or publication medium, resulting in the exclusion of another 976 studies. (Thus, 36 studies would be fully read. In this phase only studies with the interventions (supervised x unsupervised) and population (only TKA patients) of interest remained, numbering five). Table 2 describes the characteristics of the five studies selected for the review of which three were from North America11–13 one was from Europe14 and one was from Oceania.6 The sample sizes varied from 70 to 240 individuals. Females prevailed in all studies, mostly aged 55 to 70 years.

![Flow chart of the study selection process.](image)

<table>
<thead>
<tr>
<th>Studies</th>
<th>Population</th>
<th>Supervised group</th>
<th>Unsupervised group</th>
<th>Outcomes</th>
<th>Results</th>
</tr>
</thead>
<tbody>
<tr>
<td>Worland et al.22</td>
<td>80 patients with a mean age of 70.2 years submitted to TKA, 53 women and 27 men</td>
<td>3 times a week-2 weeks, therapeutic exercises-lower limb strengthening, gait training and stretching</td>
<td>3 hours a day-10 days, use CPM</td>
<td>ROM: knee flexion and extension.</td>
<td>On two study occasions (6 weeks and 6 months) knee flexion did not differ between the groups.</td>
</tr>
<tr>
<td>Kramer et al.15</td>
<td>160 patients with a mean age of 68±28 years submitted to TKA, 91 women, 26 losses</td>
<td>Therapeutic exercises performed in group-two one-hour sessions per week for 12 weeks</td>
<td>Exercises for 12 weeks and a book with educational information. Follow-up by telephone.</td>
<td>Function: WOMAC, Knee Rating Scale, 6MWD, Sit down and stand up; Quality of life:SF-36</td>
<td>The groups showed improvements at 12 weeks, but the difference between the attendance modalities was not significant</td>
</tr>
</tbody>
</table>

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Results

Supervised group

Outpatient therapeutic exercises on average 4–6 times a week. Patients were assessed on three occasions (3 months, 6 months, and 1 year).

Therapeutic exercises at home, Patients were assessed on three occasions (3 months, 6 months, and 1 year).

ROM: knee flexion

Unsupervised group

Therapeutic exercises: Muscle strengthening, ROM gain, gait training-6 months. 14 days preoperatively and 60 days postoperatively.

NMES and a booklet with exercises-six months

ROM: knee flexion and extension; Function: WOMAC, KSS and Get Up-and-Go test

Population

116 postoperative TKA patients aged 55–90 years, 73 women and 43 men

70 patients with a mean age of 66.1 years submitted to TKA

233 individuals with a mean age of 67.3 years

Studies

Rajan et al.13

Levine et al.12

Ko et al.6

The ROM of the two groups on three occasions did not differ significantly. The biggest difference occurred at six months, when the adjusted mean difference between groups was 2.82 (95% CI: 0.19, 5.83, p=0.07); the supervised group improved slightly but not significantly.

No statistical difference was found at 6 weeks or 6 months between the groups for knee ROM, KSS pain, and function, WOMAC and Get Up-and-go test.

The three groups (one-to-one, group-based therapy, and monitored home program) did not present short- and long-term differences in any of the study outcomes.

The data of interest included supervised and unsupervised physical therapy sessions conducted at a clinic or at home. The instructions for the supervised interventions were provided by e-mails, exercise brochures, and lessons. Four studies compared supervised and unsupervised interventions (two groups).11–14 Only one study investigated three physical therapy modalities: supervised individual therapy, supervised group therapy and unsupervised home therapy.6

Two studies compared supervised outpatient care with unsupervised home care.12,14 One study compared the use of continuous passive motion (CPM) devices by an unsupervised group and a supervised group.12 Another study in the same line of research compared the use of neuromuscular electrical stimulation (NMES) by an unsupervised group and a supervised group.12 One study did not detail the intervention.12 Four studies established a relationship between the techniques, namely strength training, encouragement to increase ROM, gait exercises, and joint mobilization and stretching.11–13 However, none of the studies described the exercises performed during the intervention or their intensity.

Study quality assessment

(Figures 2) (Figure 3) show the results of the internal quality assessment. Only two studies detailed the random sequence generation process,6,14 presenting low risk of bias. The other three studies did not describe the random sequence generation process clearly.11–13 Only one study described allocation concealment correctly.6 The other studies did not provide a full description, resulting in unclear risk of bias. Blinding of participants and personnel determines performance bias. Only one study described this methodological step.6 Levine et al.13 did not detail this step, presenting unclear risk of bias. Three studies12–14 did not mention this item, resulting in high risk of bias. Detection bias is avoided by blinding the outcome examiners. Two studies described this procedure well6,13 minimizing the risk of bias. Three studies11,12,14 described this procedure superficially, resulting in unclear risk of bias. Attrition bias is analyzed by accounting for the existence of incomplete outcomes. Two studies presented all the outcomes, so they were characterized as having low risk of bias for this item.6,14 However, three studies did not clarify the study outcomes, which resulted in high risk of bias.11–13 Reporting bias regards selective revealing or suppression of information by subjects. Only one study1 cited the outcomes, resulting in low risk of bias for this item. Ko et al.6 described this item inconclusively, resulting in uncertain risk of bias. Three studies6,11,13 did not describe this item clearly, resulting in high risk of bias. Finally, four studies6,11,13 did not seem to have other sources of bias. However, Kramer et al.12 changed their study design, resulting in high risk of bias.

Results of the meta-analysis

The five studies included analyzed ROM as outcomes.6,11–14 However, the studies presented heterogeneity in relation to the time of the data collecting. Only one research showed ROM after two weeks of rehabilitation11 and it did not differ between groups (SD 0.10, 95% CI: 5.02 to 5.22). Two clinical trials that measured ROM in six weeks did not find any difference between the supervised group and the unsupervised one11,13 (SD 1.17, 95% CI: 2.12 to 4.47). Knee flexion ROM at three months did not differ between groups (SD 3.09, 95% CI: 0.04 to 6.04).12,14 A meta-analysis12,13 of the six-month follow-up found no difference between the groups (SD 1.71, 95% CI: 0.30 to 3.72). ROM at the one-year follow-up also did not differ between groups (SD 2.00, 95% CI: 0.78 to 4.78).13 These data are presented in Figure 4. Two studies6,12 did not present enough data for inclusion in the meta-analysis. We contacted the author but without success.


Table Continued...

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<td>NMES and a booklet with exercises-six months</td>
<td>ROM: knee flexion and extension; Function: WOMAC, KSS and Get Up-and-Go test</td>
<td>No statistical difference was found at 6 weeks or 6 months between the groups for knee ROM, KSS pain, and function, WOMAC and Get Up-and-go test.</td>
</tr>
<tr>
<td>Ko et al.6</td>
<td>233 individuals with a mean age of 67.3 years</td>
<td>One-to-one group-based therapy: Manual therapy, cryo therapy, neuromuscular stimulation, bracing prescription, strength training, sensory motor training, and aerobic activities-1 year</td>
<td>Therapeutic exercise at home, instructions provided by DVD and educational books-followed for 1 year</td>
<td>ROM: knee flexion and extension; Function: OKS, WOMAC, 6MWD, Quadriceps Lag (extensor lag), Quality of life (Mental and Physical): SF-12, Pain: WOMAC, Therapeutic Satisfaction-visual analog scale</td>
<td>The three groups (one-to-one, group-based therapy, and monitored home program) did not present short- and long-term differences in any of the study outcomes.</td>
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Discussion

The present systematic review synthesized randomized clinical trials that compared physical therapy models for TKA patients. The available evidences presented heterogeneity and some studies were limited in the clinical outcomes. Two studies assessed just ROM of patients with TKA. Rojan et al. analyzed only ROM in the bending of the knees during the rehabilitation process. Functionality, joint pain and quality of life are relevant outcomes in the recovery process for the patients. Three studies made use of WOMAC scale to quantify the functional evolution. Nevertheless, these three studies differ in the use of functional tests. Kramer et al. used 6MWD as well as sit down and stand up, Lenine et al. Applied get up-and-go test and finally Ko et al. used 6MWD as well as quadriceps lag (extensor lag). Only two randomized clinical trials measured quality of life and just one study evaluated that in the long-term. Quality of life is a very important outcome after TKA, for it is related to functional activities, level of pain, and return to work. TKA impacts patients' mental and social wellbeing. In a longitudinal study, Linda et al. (2015) investigated the functional aspects and quality of life of 851 TKA patients. Patients following knee arthroplasty had better quality of life and aspects related to physical function and pain one year after surgery. To establish functional parameters as well as suitable treatment models is necessary to minimize risks of negative results after TKA.

Some groups question the role of physical therapists in rehabilitation, and their participation in rehabilitation can inconvenience patients and greatly increase treatment cost. Four studies compared two interventions, one supervised and one unsupervised and one study investigated three models of care, namely supervised individual therapy, supervised group therapy, and unsupervised in-home therapy. One study used neuromuscular electrical stimulation on the unsupervised group. Another study used a continuous passive motion (CPM) machine in place of supervised physical therapy to improve ROM. Both studies did not find differences between the groups.

Majima et al. who reported a mean knee flexion ROM of 126° (+6.5 degrees) after a twelve-week rehabilitation program. Improving knee flexion and extension ROM is important to improve physical mobility and avoid joint limitations. Supervised rehabilitation protocols allow professionals to follow the patients' progress. The five reviewed studies did not assess muscle strength and atrophy. Recently, a study found that low muscle strength was predictive of TKA in women. A prospective study found that patients following knee surgery had less quadriceps muscle strength and lower physical performance (Timed Up and Go, and Stair Climb Test) than non-surgery patients.

Among other factors, low muscle strength, especially quadriceps muscle strength, can limit function after TKA. Patients lose as much as fifty percent of their quadriceps muscle strength in the first month after surgery. For these reasons protocols that assess muscle quality may provide valuable information for the development of effective and safe care modalities. The supervised interventions conducted by the reviewed studies consisted of the following activities: strength training, stretching, sensory motor stimulation, and ROM training. However, these studies did not describe the volume or intensity of the physical therapy exercises performed in the different rehabilitation phases only intervention duration, which varied from six months to one year.

Therefore, future studies in this line of research should include thorough descriptions of the clinical trial interventions, making sure that they are accessible to the readers. The unsupervised interventions provided by two studies consisted of educational instructions by telephone, illustrated books, and DVD videos.
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developed countries unsupervised interventions or telerehabilitation can help to reduce health care costs and provide good rehabilitation outcomes.12–14 However, in underdeveloped countries we can have difficulty in the insertion of this program. The ages of the patients in the reviewed studies varied from 55 to 90 years. This population had compromised functional, cognitive, and social aspects.12–14 In order to assess the effect of interventions following TKA these factors must be included as clinical outcomes. However, the reviewed studies did not describe some important outcomes thoroughly. The domain that showed the highest prevalence of uncertainty bias was the allocation concealment.11–14 For this domain to satisfy the criteria it is important to describe in detail the method used to conceal the random sequence, using sequentially numbered, opaque, sealed envelopes, and central randomization by a third party to carry out allocation avoiding the acknowledgement of interventions before or during recruitment of individuals.

Among the five eligible studies for the review, only one presented the correct allocation criteria.6 Jadad et al. (1996) demonstrated the importance of double-blinding to ensure accurate measurements of effectiveness of a particular intervention. We know the difficulty in blinding the participants to physical therapy interventions; however studies have not shown the blinding of those involved in the research which can interfere with the results.12–14 Showed insufficient and inconclusive outcome data, increasing the bias on the findings of the studies. In general, the included studies had methodological limitations. According to the criteria suggested by the Cochrane Collaboration, the presence of bias in methodology weakens and trends the results.10,27–45

Conclusion

The analyzed results presented similarity among the treatment models. But flaws in the available evidences weaken the reliability of the results. In general, this systematic review found methodological flaws and clinical limitations to indicate in-home models without supervision to patients having TKA. Relevant clinical researches with rigorous methodological control, and clear descriptions about the physiotherapy conduct are necessary to clarify the benefits of the use of models without supervision to patients submitted to orthopedic surgeries.

Acknowledgements

None.

Conflict of interest

The authors declare that they have no competing interests.

References


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