

# Transanal endoscopic microsurgery

## Abstract

**Background:** Transanal endoscopic microsurgery (TEM) presents a minimally invasive procedure for local removal of large adenomas as well as early carcinomas of the rectum. TEM of advanced rectal cancer remains a controversial issue although it has become a more attractive option because of the regular use of neoadjuvant therapy at several centers. TEM's availability and utilization in a Caribbean medical community has been limited and remains a challenge.

**Methods:** This study was a retrospective review using a prospectively maintained database of patients who underwent TEM from 2004 to 2015. Age, gender, indications, operative time, tumor distance from the anal verge, tumor size, hospital stay, postoperative complications and local recurrence were included in a study.

**Results:** A total of 171 patients underwent TEM during a period of 11 years. The main indication for TEM was the presence of carcinoma: 85 (49.7%). The median age of patients was 63 years, median operative time was 81.7 minutes (20–240) and median specimen size was 3.4 cm. Hospital stay was 1.4 days and main postoperative complications were four bleedings, two dehiscences and two rectovaginal fistulas. Two patients had local recurrences in the adenoma group (3.6%) treated by TEM, and two in the T1 group (5.8%) treated by laparoscopic surgery. There were no conversions to laparoscopic or conventional surgery.

**Conclusion:** In an advanced Caribbean medical community, TEM can be utilized for patient's safety with good adenoma control and oncologic outcomes. Propagation of advanced surgical technologies in a developing medical community remains challenging but our experience supports the feasibility and potential of this approach.

**Keywords:** transanal endoscopic microsurgery, TEM, rectal cancer, rectal adenoma, technology in developing country

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## Introduction

Delivery of healthcare in different economic models presents opportunities and challenges for the generations and populations. In the Caribbean nations, there is a wide disparity of level of healthcare provided both between countries and within individual countries. The Cuban medical system is very advanced in comparison to other countries (Caribbean region). In fact, in the infant mortality rate or in the survival of the cancer colorectal, it is compared favorably with the western countries. However, the introduction of advanced technology in this global environment is hampered by challenges with cost, equipment maintenance and supply chain issues. The benefits of these approaches however are equally advantageous for people of all countries, regardless of the country they were born and live in. A number of surgical techniques have been implemented to remove rectal tumors locally. Transanal endoscopic microsurgery (TEM) is a newly popular, minimally invasive technique for that purpose. In 1983, Gerhard Buess introduced TEM. He developed the technique according to a fixed action plan, including experiments on animals, after which he progressed to clinical introduction. In addition, he set up training courses for interested surgeons to introduce the new technology safely.<sup>1-3</sup> TEM is ideally performed in patients with benign large adenomas and low-risk superficial carcinomas of the rectum. It is a local excision technique, which enables the surgeon to perform a submucosal or full thickness excision with great precision. By using an operating rectoscope with a diameter of 4 cm and a length of 12 or 20 cm, the most challenging aspect of transanal surgery, reaching the cephalad margins, is easily achieved. The scope has four

work channels, a stereo optic vision channel, a light source, and an insufflation port to obtain a pneumorectum for maximum exposure.<sup>4,5</sup> TEM has been shown to be superior to transanal excision (TAE) for benign and malignant rectal neoplasms. There is a significantly lower risk of fragmented or piecemeal excision, incomplete resection and consequently a lower recurrence rate.<sup>4,6-8</sup> TEM of more advanced rectal cancer remains a controversial issue. However, TEM has recently become a more attractive option because of the regular use of neoadjuvant therapy at several centers.<sup>4,5,8-13</sup> Still, other indications have also been described: repair of high or supralevator fistulas, rectourethral fistulas and rectal prolapse, drainage of pelvic collections, impacted fecaloma and excision of extra rectal masses.<sup>5,14-16</sup> The aim of this study is a report on the introduction of a national program of advanced surgical technology at the National Center for Minimally Invasive Surgery in Havana, Cuba.

## Materials and methods

A retrospective review using a prospectively maintained database of patients who underwent TEM from April 2014 to December 2015 at the National Center for Minimally Invasive Surgery, Havana, Cuba. This is a tertiary referral university-affiliated center specializing in endoscopic and laparoscopic surgery. All the procedures were performed by the same surgical team (surgeon and nurse), which was trained by professor Gerhard Buess at the Training Center for Minimally Invasive Surgery (Eberhard-Karls-University, Tübingen). Informed consent was obtained from patients before performing the procedure. The study was approved by our Institution Ethical Committee. Inclusion criterion included a planned TEM for benign

rectal diseases (adenomas, rectal prolapses, stenosis, granuloma, abscess, impacted fecaloma) and malignant rectal tumors (uT1 N0 low risk, uT2 N0 low risk with neoadjuvant therapy and uT3 N0 low risk and complete response after neoadjuvant therapy), specified tumor located within 8 cm from the anal verge and a tumor diameter of 3cm or less. The surgery was performed 12weeks after the completion of neoadjuvant therapy. The selection was restricted to fit patients (American Society of Anesthesiologists classification I-II-III). Exclusion criteria were circumferential tumors, uT1-2-3 N0 high risk, uT3 N0 with poor response after neoadjuvant therapy and rectal cancer over 3cm of diameter. The parameters studied were age, gender, indications, operative time, location, tumor distance from the anal verge, tumor size, conversions, and length of hospital stay, postoperative complications, mortality and local recurrence. Operative time was defined as the time beginning with the introduction of the rectoscope until the completion of the last suture. Distance was defined as distance from the anal verge to the inferior margin of the tumor. All data were collected in a database and analyzed with SPSS statistical software (version 21 for Windows). The preoperative evaluation included history, physical examination with digital rectal examination, rigid rectoscopy, colonoscopy with biopsy and endorectal ultrasound. Patients underwent bowel preparation by enema only because we believe that an oral preparation can contaminate the operative field with liquid feces, and were given antibiotic prophylaxis.

### Surgical Technique

The protocol for anesthesia was the same for all patients.

We use a standard Wolf TEM operating endoscope (Wolf & Co., Knittlingen, Germany) with a fixed Martin stabilizing arm. The diameter of the rectoscope is 40 mm (the optimum limit for anal dilation). The instruments used and the principles of operative technique are those described by Buess.<sup>17</sup> The patient was positioned according to the location of the tumor in supine, prone, left or right lateral position. A full-thickness excision was performed in all cases of rectal cancer, with the resulting rectal wall defect left open rather than sutured. The lesions were excised intact and measured after they had been fixed to the board.

### Postoperative Management

All patients were allowed sips of clear fluid starting 6h after surgery. The first meal was offered after passing flatus. The pain control was administered intravenously in the operating room and was begun 30minutes prior to the end of surgery. Intravenous catheters were removed when patients could tolerate a clear fluid. Patients were discharged from the hospital when they were mobile with well-controlled pain, tolerated an oral diet, and resumed normal bowel functions. The patients were examined every 3months the first year, then every 6months the following year. Follow-up evaluation consisted of clinical examination, rigid sigmoidoscopy and endoscopic rectal ultrasound in every outpatient visit, colonoscopy one year after the resection, and computed tomography (CT) scan of the liver/abdomen at 6 months, then annually unless indicated. The site of the excision was followed up by simple search for the scar, with biopsies taken if anything abnormal was found.

### Results

A total of 171patients underwent TEM between April 2004 and December 2015. The indications are presented in Table 1. Main indication of TEM was the presence of carcinoma (49,7%). The

group of patients included 97 females and 74males. The median age was 63(range 21-93)years, specimen size was 3,4(range 1-7)cm and tumor distance from the anal verge 8,5(range 4-19)cm. Table 2, Table 3 presents the surgical outcome of patients. Median operative time was 81,7min(range 20–240) and hospital stay was of 1,4(range 1-10)days. There were 10 postoperative complications: two of three cases of postoperative bleeding had to be treated with endoscopic procedure and one with transanal procedure, two dehiscence in the intraperitoneal region required discontinuity laparoscopic resection (Hartmann) due to peritonitis in the lower abdomen, two rectovaginal fistula required reoperation (laparoscopic) with a protective stoma, one pneumothorax and one perirectal abscess one week after surgery solved with medical treatment. No patients required conversions to laparoscopic or conventional surgery. One patient with carcinoma died postoperatively of cardiopulmonary insufficiency. Definitive histology confirmed adenomas in 55cases, while in 93 malignant lesions we had 9pTis, 34 pT1, 37 pT2 and 13pT0-1 (T3 with complete response after neoadjuvant therapy following TEM). Radical surgical rescue was performed on 10patients after TEM, with no local or systemic recurrences. (Figure 1) After a median follow-up period of 58(range 3-143)months, 2(3,6%) patients had local recurrences in the adenoma group treated by TEM, and 2(5,8%) in the T1 group, 3(8,1%) in the T2 group, 1(7,7%) in the T3 group treated by radical surgical rescue. (Table 3)

**Table 1** Indications for TEM of this study

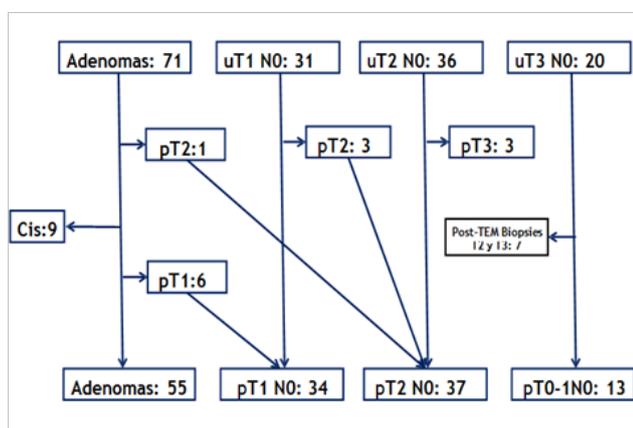
Variables	N = 171
<b>Indications</b>	
Adenomas	71
Carcinomas	85
Carcinoid	2
Others tumours	5
Stenosis	4
Rectal prolapse	1
Granuloma	1
Abscess	1
Impacted faecaloma	1
<b>Staging of Malign Tumours(Low-Risk)</b>	
uT1	31
uT2 with neoadjuvant therapy	36
uT3 with complete response after neoadjuvant therapy	20

**Table 2** Patient and tumor characteristics of this study

Variables	N = 171
Women : Men	97:74
Age(years) median(range)	63(21–93)
Tumour size(cm) median(range)	3,4(1-7)
Tumour distance(cm) median(range)	8,5(4-19)
<b>Position of the Lesion</b>	
Posterior	50
Anterior	41
Left lateral	16
Right lateral	15
Two wall	33
Three wall	10

**Table 3** Surgical outcomes

Variables	N = 171
Total operative time(min) median(range)	81,7(20–240)
Hospital stay(days) median(range)	1,4(1-10)
Postoperative complications(%)	10(5,8%)
Bleeding	4
Dehiscence	2
Rectovaginal Fistula	2
Abscess	1
Others	1
Mortality(%)	1(0,5%)
<b>Local recurrences</b>	
Adenomas	2(3,6%)
pT1	2(5,8%)
pT2 with neoadjuvant therapy	3(8,1%)
pT3 with complete response after neoadjuvant therapy	1(7,7%)



**Figure 1** Pre-and postoperative histological diagnosis.

## Discussion

TEM's availability and utilization a Caribbean medical community has been limited and remains a challenge. The National Center for Minimally Invasive Surgery has the greatest experience in endoscopic approach in Cuba. The institution's department of laparoscopic and endoscopic surgery has performed over 900 laparoscopic colorectal procedures. TEM allows greater versatility and options for the operating surgeon. The use of the operating rectoscope with a length of 20cm and the four ports of access allow treating rectal and sigmoid diseases.<sup>5</sup> TEM is used to treat a variety of disease processes, both benign and malignant. The most common uses of TEM are for resection of colonoscopically unresectable rectal adenomas (large adenomas) and selected early rectal cancers, because of its safety and low local recurrences rates.<sup>7,18</sup> The advantage of TEM versus endoscopy is the use of both hands with a more precise dissection. The enhanced three-dimensional view of the operative field is of notable advantage.<sup>19</sup> The main problems of TEM are restrictions on instrument manipulation and triangulation limits by using an operating rectoscope with a diameter of 4cm. These disadvantages include longer learning curve, longer operative time, the need for specialized instruments, and difficulty in suturing through a rigid scope.<sup>5,18,19</sup> However, those disadvantages are nonexistent with the experience of the surgical team. TEM was introduced initially by Buess et al.<sup>20</sup> for benign lesions and after that was extended to early rectal cancers.<sup>6,18</sup> Salm et al.<sup>21</sup> in another study about the experience with TEM in Germany, report 5,7% of radical rectal resection in patients with advanced cancer in the post-TEM histological specimen. However, when the TEM was established starting the use for T1 cancers with favorable histology including the depth of submucosal invasion and low risk of lymph node metastases.<sup>22–24</sup> The incalculable threat after local resection is the risk of lymphatic metastasis. In low-risk pT1 tumors, lymphatic spread occurs in only 3% of patients compared to 12% in pT1 high-risk tumors, which translates into higher local recurrences in high-risk pT1 tumors treated by local excision. Our 5.8% recurrence rate for low risk T1 tumors compares very favorably to the literature.<sup>6,22,23</sup> Tumors must be located at the extraperitoneal part of the rectum using the full-thickness technique. TEM is not indicated for patients with carcinomas located at the anterior wall if they are higher than 12cm from the anal verge, because of a potential gas loss into the opened peritoneal cavity if the rectal wall is breached<sup>5</sup> however Marks et al.<sup>25</sup> reported that the high anterior location rectal lesions should be considered candidates for TEM in experienced hands. The goal of oncological surgery for rectal cancer is primarily to achieve the best cancer control and secondly to preserve function and quality of life. Local excision by TEM is controversial because of the absence of lymphadenectomy, thereby Total mesorectal excision (TME) is the best curative treatment for lower rectal cancer, with good long-term results reported after neoadjuvant radio chemotherapy.<sup>26</sup> Nevertheless, radical surgery is associated with high rates of genitourinary and sexual dysfunction (30–40%), anastomotic leakage (5–17%), and changes in bowel habits (frequent bowel movements, urgency, and incomplete evacuation). Abdomino perineal resection is associated with 40% of perineal wound complications, 66% of stoma complications and patient depression in 30%.<sup>26–29</sup>

Local excision of advanced rectal cancers with curative intent remains a debatable issue. The review recommended that TEM only be used for palliative cases in T2-T3 tumors, nevertheless, researchers in several studies have described that TEM is safe and effective for patients with rectal carcinoma pT2 N0 low risk after neoadjuvant

therapy and pT3 N0 low risk and complete response after neoadjuvant therapy who refused abdominal surgery.<sup>4,5,9–13,22,26,30–32</sup> Our experience has shown that neoadjuvant therapy followed by TEM to treat T2–3 N0 rectal cancer is well tolerated and effective. With the standardization of the technique for the removal of tumors and the suture of rectal defects, we began to consider other possible indications in rectal and pelvic disease. These indications are known as “atypical,” as they do not involve the excision of rectal adenoma or carcinoma.<sup>33</sup> The atypical indications in our study is comparable to others reported that describes the indications in pelvic abscess, benign rectal stenosis, gastrointestinal stromal tumor, rectal prolapse, extraction of impacted fecaloma at the rectosigmoid junction and presacral tumor.<sup>34–38</sup> In recent years, TEM via natural orifices (natural orifice transluminal endoscopic surgery: NOTES) has been used to gain access to the peritoneal cavity and to perform intraabdominal procedures.<sup>39–41</sup> If NOTES should become a clinical reality, TEM may well play a role in its ultimate universal application.<sup>5,42</sup> In conclusion, in an advanced Caribbean medical community, TEM can be utilized for patients’ safety with good adenoma control and oncologic outcomes. Propagate of advanced surgical technologies in a developing medical remains challenging but our experience supports the feasibility and potential of this approach. Our results may be generalizable, because we used the standardized techniques and a median 58 months follow-up.

## Author disclosures

Dr. Javier Ernesto Barreras González has no conflicts of interest or financial ties to disclose.

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## Conflict of interest

Dr. Javier Ernesto Barreras González hereby transfer, assign, or otherwise convey all copyright ownership, including any and all rights incidental thereto, exclusively to the Journal, in the event that such work is published by the Journal.

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