

# Luminescent probe based techniques for hypoxia imaging

## Abstract

Hypoxia is a condition of tissue environments wherein a lower than normal level of oxygen is available, and it serves as the root cause and indicator of various diseases. Detection of hypoxia in tumors is imperative for furthering our understanding of the pathological effects and the development of proper treatments, as it is well established that hypoxic tumors are able to impede the cancer treatment process by being resistant to many therapies. It is important therefore to be able to detect hypoxia in tissues and tumors through *in vivo* imaging methods. A growing area for detection of hypoxia *in vivo* is the use of fluorescent/luminescent probes which has accelerated in recent years. The continued quest for improvements in selectivity and sensitivity has inspired researchers to pursue new strategies for fluorescence/luminescent probe design. This review will discuss various luminescent probes based on small molecules, dyes, macromolecules, and nanoparticles for sensitive and specific detection of oxygen levels directly or by indirect mechanisms such as the presence of enzymes or related factors that arise in a hypoxic environment. Following the particular mechanism of detection, each probe has specific structural and photophysical properties which permit its selectivity and sensitivity. These probes show promise in terms of low toxicity and high specificity among other merits discussed, and in providing new dimensions for hypoxia detection, these works contribute to future potential methods for clinical diagnosis of hypoxic tissues and tumors.

**Keywords:** Fluorescence, Imaging, Near-infrared, Hypoxia

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## Introduction

Hypoxia refers to an inadequate oxygen supply or low oxygen concentration and is the major cause of various diseases as oxygen plays a crucial role in many pathological and physiological processes in biological systems.<sup>1</sup> Hypoxic conditions *i.e.* areas with  $pO_2$  values  $\leq 2.5$  mmHg are known to be associated with solid tumors, stroke, cardiac ischemia, inflammatory diseases,<sup>2-5</sup> vascular diseases like diabetic retinopathy and retinal vascular occlusion.<sup>6,7</sup> Therefore, it is necessary to detect and monitor hypoxia in order to formulate proper therapeutic strategies to treat numerous diseases with which hypoxia is associated. Detection of hypoxia in solid tumors has been documented by a number of techniques including invasive immunostaining but also less-invasive techniques such as luminescence imaging, magnetic resonance imaging (MRI), and positron emission tomography (PET) among others.<sup>8-15</sup> Several exogenous and endogenous markers are also available for hypoxia evaluation in tumor microenvironments.<sup>14</sup> For example, hypoxia is known to reduce the excess expression of reductive enzymes such as nitroreductase, azoreductase, and quinone reductase, among others, leading to reductive stress.<sup>16</sup> Correspondingly, these enzymes act as viable markers providing an opportunity to detect hypoxia. Hypoxia makes solid tumors extremely difficult to treat since hypoxic tumor cells have a very limited blood supply;<sup>17</sup> and consequently hypoxic tumors become resistant towards radiotherapy, chemotherapy, biotherapy, thermotherapy and anti-cancer drugs<sup>18</sup> further causing problems in the treatment of cancer patients. Hypoxia may arise from inadequate blood flow in the cancerous tissues due to the vasculature abnormalities, from increased diffusion distances due to tumor expansion/geometries, or due to decreased oxygen transport capacity of the blood.<sup>18</sup> As a result of such poor penetration, the treatment of hypoxic tumors with chemotherapy drugs leads to undesired side effects caused by non-selective drug accumulation in healthy tissues.<sup>19</sup> Due to high resistance of hypoxic tumors to chemotherapy, radiotherapy, and other disadvantages, surgical

treatment is typically suggested immediately after hypoxic tumor detection by imaging.<sup>20</sup> Current limitations may lead to significant errors when patients are subjected to imaging for tumor diagnosis, prognosis and related therapeutic procedures. The diagnostic approaches using multimodality optical- and nuclear imaging based techniques for detection of brain tumor and tumor metastatic deposits in the brain could be a useful alternative. To overcome these barriers, non-invasive targeted methods such as peptide-based, nanoparticle coated delivery platforms<sup>21</sup> have been developed to deliver targeted agents to tumors.

Optical methods, particularly fluorescent probes, have attracted attention since they offer reversible responses with no electrical interferences and do not destroy the analyte. Fluorescence probes are inexpensive and offer high spatiotemporal resolution as well as sensitivity. They also provide specific detection of target molecules with high signal-to-background ratios in complex environments such as cell lysates, living cells, and within living organisms. As a result, fluorescence imaging has been widely applied in biological detection with advantages of high resolution, adjustable emission wavelength, real-time monitoring and non-invasiveness.<sup>22</sup> Such probes that have chromophores which exhibit absorption and emission in ultra-violet or visible range are inadequate for *in vivo* imaging. To utilize the potential of the fluorescent probes for improved detection of hypoxia for *in vivo* biological conditions, it is advantageous to move the development of fluorescent probes to the long-wavelength region (*i.e.*, red and near-infrared). Near-infrared (NIR) optical methods provide a feasible opportunity for *in vivo* imaging due to many advantages such as deeper tissue penetration, minimum photo-damage to biological samples, and minimum interference from background auto-fluorescence in living systems; thus, maximizing the specific signals from tissues and minimizing the background signals originating from off-target tissues.<sup>23</sup> NIR fluorescent agents combined with fluorescence molecular tomography (FMT) have

emerged as invaluable tools for quantitative, deep tissue imaging of a complex array of biological processes across a range of important areas of disease research including oncology,<sup>24</sup> inflammation,<sup>25</sup> angiogenesis<sup>26</sup> and atherosclerosis.<sup>27</sup> Hence, these optical probes have been widely used in non-invasive *in vivo* optical imaging for biomedical research following the development of advanced imaging probes in the NIR wavelength range where light signals are highly penetrable in biological tissues.<sup>28</sup> These fluorescent probes can also undergo a number of chemical modifications including conjugation with effective therapeutic drugs to target cancer cells at different progressive stages.<sup>29</sup>

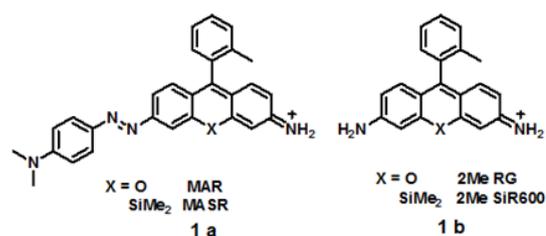
This review presents various fluorescent and luminescent molecular probes for detection of hypoxia following different mechanisms based on their structural properties. An overview of the precise probe formulations examined in this review is provided in Table 1. With these probe classes in mind, discussion is divided into three major parts based on the structural property of the molecules, specifically: dye or small molecule based probes; macromolecular/chelator based probes; and inorganic nanoparticle based probes/quantum dots. Within these sections, the probes are further examined with respect to direct versus indirect method of hypoxia detection. The hypoxia probes discussed below each undergo changes in their fluorescent properties due to the change in oxygen concentration or interaction with target hypoxia markers in the hypoxic regions. This fundamental property of hypoxia probes serves as the basis for effective fluorescence bioimaging for *in vivo* detection and hypoxic tumor monitoring in cancer cells for diagnostic purposes.

### Small molecule and dye based probes

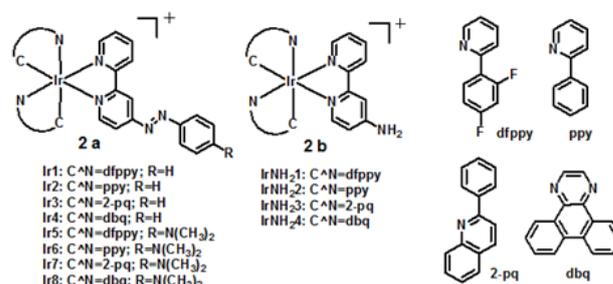
Several small or dye based fluorescent probes have been developed for hypoxia detection that follow detection mechanisms based on their structural and photophysical properties. Some of these probes detect oxygen levels directly while others determine hypoxia indirectly by the concentrations of hypoxia inducible factors. These inducible factors include nitroreductase, cytochrome P450 reductase, and carbonic anhydrase, which are abnormally expressed in hypoxic environment and cause an increase in reductive stress.<sup>16</sup> Some of these probes contain specific moieties, such as azo groups, nitroimidazoles, *p*-nitro benzyls, nitro-furans, or azides, which are tethered to fluorophores as to quench their fluorescence emission and exhibit an “off-on” switching mechanism. After target analyte detection, fluorescence is regained by modulation of various processes like FRET (Förster resonance energy transfer), PIET (photoinduced electron transfer), ES IPT (excited state intramolecular proton transfer), ICT (internal charge transfer), self-assembly/disassembly, or chemical reactions producing free fluorescent groups which will be elaborated in the following section. In addition to fluorescent probes, phosphorescent probes, two-photon fluorescent probes, near infrared fluorescent (NIRF) probes, NIRF contrast agents containing tricyanocyanine dye, polymethine carbocyanine dyes and long wavelength fluorescence dyes with donor-acceptor properties will also be elaborated on for detection of hypoxia owing to their improved photophysical and biocompatibility properties as discussed below.

To begin, we will discuss several examples of the small or dye based probes which detect hypoxic conditions directly by estimating oxygen levels. For instance, probes MAR and MASR (1a) contain an azo-bond to a conjugated rhodamine derivatives as a scaffold fluorophore. As reported by K. Hanaoka *et al* these probes offer detection of hypoxia based on the photo switch concept resulting from the conformational change around the N=N bond after photo-excitation. Under normal conditions MAR and MASR exhibited no fluorescence due to

ultra-fast azo-bond rotation on photoexcitation whereas in hypoxia, reduction of MAR and MASR by reductases resulted in the generation of fluorescent rhodamine derivatives, 2Me RG or 2Me SiR600 (1b), respectively. This was accompanied by a 630-fold or 20-fold increase in fluorescence intensity of MAR or MASR, respectively, at a decreased oxygen concentration in hypoxic conditions. The excitation and emission wavelengths were 498 and 520 nm for MAR and 593 and 612 nm for MASR. Here, the direct conjugation of the azo bond to the conjugated system of the fluorophore provides the unique opportunity to tune the photochemical and fluorescence “off/on” switching of the hypoxia sensors. In addition, multicolor imaging with both probes allowed the visualization of oxygen concentration gradients in living cells, even allowing visualization of retinal hypoxia in a rat model of retinal artery occlusion by MAR.<sup>30</sup>

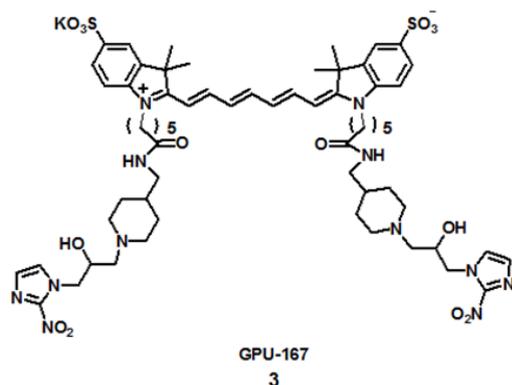


A series of azo-based iridium (III) “off-on” phosphorescence complexes have been designed and synthesized to detect hypoxia selectively. Non-phosphorescent probes Ir1-Ir8 (2a) on reduction under hypoxic conditions in an oxygen dependent process involving sequential electron transfer led to generation of high phosphorescence of the corresponding amines (2b). The phosphorescence intensity enhancement of 17.5-fold for Ir1, 16.9-fold for Ir2, 39.0-fold for Ir3, 38.4-fold for Ir4, 11.4-fold for Ir5, 13.5-fold for Ir6, 58.8-fold for Ir7, and 54.2-fold for Ir8 was observed. The maxima emission intensity ranged from 510 nm for Ir1 and Ir5 to 640 nm for Ir4 and Ir8, displaying green to red emissive solutions observable by the naked eye. Probes Ir1-Ir8 are eligible to function in a complex biological background because their phosphorescent response could be obtained in a mixed solution containing high concentration of ions or bio-related reducing agents in a suitable pH range. These probes could also penetrate 3D multicellular spheroids over 100  $\mu\text{m}$  and image the hypoxic regions in the center which are more suitable models for *in vivo* solid tumors using fluorescence imaging.<sup>31</sup>

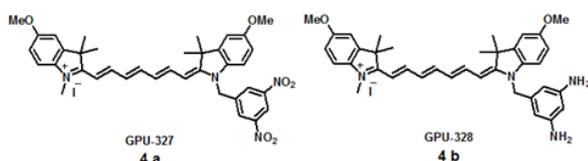


H. Nagasawa *et al.*<sup>3</sup> developed a NIR fluorescent probe, GPU-167, with longer Ex/Em wavelengths of 753/778 nm, good hydrophobicity index, and aqueous solubility for *in vivo* optical imaging of tumor hypoxia using mouse xenograft models. GPU-167<sup>3</sup> is composed of tricyanocyanine dye as a NIR fluorophore and two 2-nitroimidazole moieties as exogenous hypoxia markers that become entrapped in hypoxic cells after reductive activation. After treatment with GPU-167, tumor cells contained significantly higher levels of fluorescence

intensity at Ex/Em wavelength of 740 nm/780 nm due to binding of GPU-167 to hypoxic cells *in vitro*. *In vivo* fluorescence imaging specifically detected GPU-167 in tumors after 24h of administration and *ex-vivo* analysis revealed a strong correlation between fluorescence and hypoxia inducible factors (HIF)-1 active hypoxic regions using 710 ± 15 nm and 800 ± 10 nm as excitation and emission filter, respectively.<sup>32</sup>

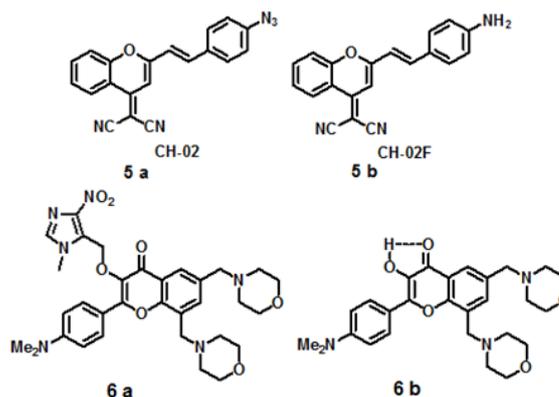


A “turn-on” NIRF probe GPU-327 (4a) has been used to evaluate both mild and severe hypoxia in various ocular ischemic diseased animal models. GPU-327 contains a NIR fluorophore as a PIET donor and a nitroaromatic moiety as a PIET modulator in which electron transfer from the excited fluorophore to the nitroaromatic moiety led to fluorescence quenching. On the other hand, under hypoxic conditions reductive metabolism cancels the PIET process, causing fluorescence “turn-on” due to the formation of hydroxyamino, nitroso, or aminobenzene moieties. GPU-328 (4b) which could be one of the metabolites of GPU-327 under hypoxic conditions was also synthesized and studied for its photophysical properties. GPU-327 showed negligible fluorescence properties whereas GPU-328 exhibited near IR fluorescence at 788 nm ( $\Phi_{fl} = 0.099$ ). GPU-327 was injected in various hypoxic diseased mouse and rabbit models followed by non-invasive NIRF imaging in the ocular fundus using commercially available cameras. The fluorescence of GPU-327 increased under mild hypoxic conditions *in vitro* with excellent signal-to-noise ratio without washing out during *in vivo* experiments, thereby enabling the imaging of deeper ischemia. GPU-327 could hence detect hypoxic areas successfully both *in vivo* and *in vitro* without causing neurotoxicity.<sup>33</sup>

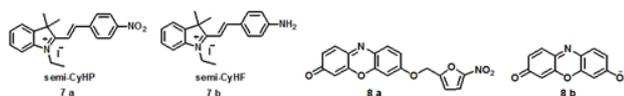


Here are the few examples of probes that monitor hypoxia by estimating target analytes, which are expressed in abnormal concentrations as a result of the reductive stress caused by hypoxic environment. The azide-based dye has been used as a fluorogenic probe to image hypoxia in human cancer cell lines. The non-fluorescent probe (5a) containing an azide group conjugated to an extended  $\pi$ -system is reduced by cytochrome P450 enzymes in an oxygen-dependent manner to give the corresponding fluorescent amine (5b). The reduction mechanism could be explained because of the coordination of azide to the heme center via a nitrenoid intermediate in hypoxia, whereas under normoxic conditions such binding is not observed

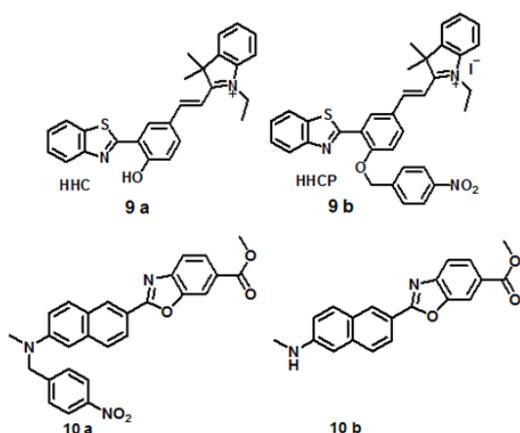
as the iron center is blocked by oxygen. The fluorescence spectrum showed that the corresponding amine (CH-02F) was ~ 600 times more fluorescent than the azide-based probe (CH-02) with emission maxima at 625 nm upon excitation at 515 nm. CH-02 could be used to determine cellular hypoxia by confocal microscopy and showed an increase in red fluorescence from normoxia to hypoxia. Fluorescence-assisted cell sorting (FACS) analysis revealed a 10 fold increase in fluorescence under hypoxic conditions when compared to normoxia. Furthermore, it was shown that CH-02 could detect hypoxia in 3D tumor models because of its permeability across multiple cell layers.<sup>34</sup>



The “off-on” fluorescent probe (3-HF-NO<sub>2</sub>, 6a) was developed containing a 4-nitroimidazole moiety as a hypoxic trigger and two morpholine groups into the 3-HF scaffold at the 6, 8-position for detection of nitroreductase (NTR), which is directly correlated with hypoxic status in solid tumors. 3-HF-NO<sub>2</sub> exhibited two absorption bands with absorption maxima at 314 nm and 402 nm. The non-fluorescent property and low background signal of 3-HF-NO<sub>2</sub> could be attributed to PIET and blocking of ESIPT by 4-nitroimidazole. However, the presence of nitroreductase (NTR) and NADH in the probe 3-HF-NO<sub>2</sub> buffer solution produced strong green fluorescence with emission maximum at 560 nm upon excitation at 412 nm exhibiting a detection limit of 63 ng/mL for NTR. Under hypoxic conditions, reduction of the 4-nitroimidazole moiety *via* a series of one-electron reduction processes to form an unstable 4-aminimidazol derivative followed by rearrangement-elimination leading to the release of the 3-HF free dye (6b) formed the mechanistic basis for selective NTR detection. On the other hand, under normoxic conditions, the reduction of 3-HF-NO<sub>2</sub> was suppressed by molecular oxygen. This probe was also successfully applied for imaging the hypoxic status of tumor cells (*e.g.*, HeLa cells) with no cytotoxicity.<sup>35</sup> A “turn-on” fluorescent probe semi-CyHP (7a) containing *p*-nitrophenyl group tethered to the indole moiety could detect NTR with strong fluorescence emission corresponding to semi-CyHF (7b). The fluorescence-quenching by the *p*-nitrophenyl group acts as a receptor for NTR and is converted to *p*-aminophenyl under hypoxic conditions. The probes semi-CyHP and semi-CyHF showed absorption maximum at 385 nm and 490 nm, respectively. The fluorescence spectrum of semi-CyHF showed an emission peak at 556 nm when compared to weakly fluorescent semi-CyHP. The incubation of semi-CyHP with NTR in the presence of NADH led to 85-fold enhancement in fluorescence intensity at 556 nm in buffered aqueous solution on excitation at 490 nm. The probe semi-CyHP showed no fluorescence under normoxic conditions in A549 cells whereas drastic fluorescent spots appeared within cells under hypoxic conditions with minimal endogenous interference during investigation of hypoxic tumor cell imaging.<sup>36</sup>

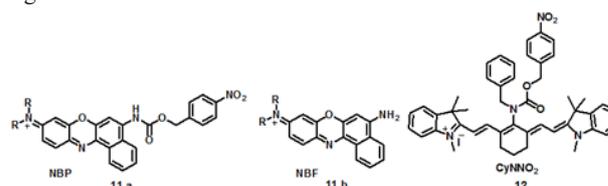


The nonfluorescent probe 8a reacts with NTR in the presence of NADH with the release of resorufin (8b) leading to pink and strong red fluorescence. Colorless probe 8a exhibited a weak absorption in the long wavelength region but the addition of NTR produced a strong absorption band at 550 nm with a color change to pink. In the fluorescence spectrum, the latent probe itself had negligible emission with low quantum yield of 0.04 due to alkylation of the 7-hydroxy group of resorufin which on reaction with NTR led to 100-fold fluorescence enhancement at 585 nm with change in fluorescent color in aqueous buffered solution. Therefore, the probe could detect NTR selectively with a detection limit of 0.27 ng/mL and could be used for imaging the hypoxic status of tumor cells as it could discern HeLa and A549 cells under normoxic and hypoxic conditions successfully.<sup>37</sup> HHC (9a), an intramolecular charge transfer (ICT) fluorophore which is a hybrid of a hemicyanine dye and 2-(2-hydroxyphenyl)-benzothiazole (HBT) has also been synthesized. In this system, the hemicyanine dye exhibited long-wavelength absorption and emission due to ICT while HBT displayed ESIPT (the excited state intramolecular proton transfer) type absorption and emission due to the electron donating hydroxyl group. On excitation at 530 nm, fluorescence spectrum of HHC showed an emission band at 450 nm due to ESIPT of HBT and at 616 nm due to ICT push-pull electron system. To modulate the ICT and ESIPT, *p*-nitrobenzyl group was introduced to the hydroxyl group of HHC resulting in a fluorescence probe HHCP (9b) for selective and sensitive detection and intracellular imaging of nitroreductase. The design of the probe is based on the photochemical property of nitroreductase, a flavin-containing enzyme, which can reduce nitroaromatics under hypoxic conditions using NADH as an electron donor. The non-fluorescent HHCP showed enhanced fluorescence at 580 nm with increased NTR concentration in the presence of NADH with a detection limit of 4.15 ng per mL under physiological conditions. The potential of HHCP for hypoxic tumor cell imaging was demonstrated using HeLa cells incubated with HHCP by enhancement of the red fluorescence due to emission from HHC under hypoxic conditions.<sup>38</sup>

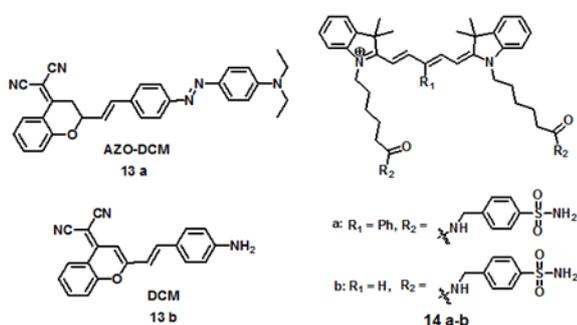


Two-photon fluorophores provided better three-dimensional spatial localization, higher imaging resolution, increased penetration depth and observation time along with decreased autofluorescence, and photobleaching over one-photon fluorophores in fluorescence studies for biological applications.<sup>39</sup> In a key example, a two-photon

(TP) excited fluorescence probe 10a containing *p*-nitrobenzyl group could detect 20 ng/mL NTR with 70-fold fluorescence enhancement at 494 nm in buffered aqueous solutions on excitation at 398 nm. The reduction of probe 10a by nitroreductase and NADH was followed by rearrangement-elimination which released the free compound 10b with green fluorescence emission. In addition, the probe was also used for one-photon and two-photon excited fluorescent bioimaging of hypoxic status in tumor cells and two-photon excited deep imaging of rat liver tumor tissue slices, with an imaging depth of 70-160  $\mu$ m in the green channel with  $\lambda_{em} \sim 470$ -530 nm.<sup>40</sup> A non-fluorescent probe, NBP (11a), with an absorption peak at 525 nm could detect 180 ng/mL nitroreductase accompanied by fluorescence enhancement at 658 nm on excitation at 580 nm due to release of the Nile Blue fluorophores, NBF (11b). Further, fluorescent imaging of A549 cells also showed the ability of NIR probe NBP to detect tumor hypoxia with minimal endogenous interference.<sup>41</sup>

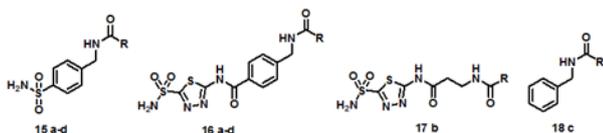


A near-infrared ratiometric fluorescent probe CyNNO<sub>2</sub> (12), containing *p*-nitrobenzyl moiety as a reactive group, selectively estimated nitroreductase with a 0.0058 ng/mL limit of detection. The absorption maxima of CyNNO<sub>2</sub> exhibited gradual decrease in intensity at 782 nm on incubation with nitroreductase accompanied by an increase in absorbance intensity at 645 nm with an isosbestic point at 689 nm. The emission maxima on excitation in fluorescence spectrum of CyNNO<sub>2</sub> at 805 nm (at  $\lambda_{max}$  689 nm) showed enhancement on addition of nitroreductase and NADPH because of the interaction between CyNNO<sub>2</sub> and nitroreductase. However, with reaction progression, the fluorescence intensity at 805 nm decreased along with an appearance of a new band at 747 nm. These observations are attributed to the mechanism in which the carbamate group was cleaved, leading to the release of free benzylamine-substituted tricyanobenzene. The detection is based on the selective reduction of the nitro group to a hydroxylamine or amino group by nitroreductase in the presence of NADPH as an electron donor. It was demonstrated by confocal fluorescence imaging that CyNNO<sub>2</sub> could detect intracellular hypoxia in A549 tumor cells incubated with CyNNO<sub>2</sub> by imaging nitroreductase by dual emission ratiometry, which showed emission channels of 700-770 nm and 780-800 nm on excitation at 635 nm.<sup>42</sup> A "turn-on fluorescent" NIR probe AZO-DCM (13a) was designed for selective and sensitive *in vivo* detection of cytochrome P450 reductase which is overexpressed in hypoxic tumor cells. The probe is based on the photo-stability of fluorophore dicyanomethylene-4H-pyran dye with an azo bond as probe quencher and hypoxic trigger. The reaction between probe and cytochrome P450 reductase led to the cleavage and reduction of azo bond to amine with the release of the DCM dye (13b) accompanied by 150-fold increase in fluorescence within 4 minutes. The UV absorption spectrum of the probe AZO-DCM and fluorophore DCM showed  $\lambda_{max}$  at 520 nm and 500 nm in PBS buffer, respectively. The fluorescence spectrum of AZO-DCM did not show any fluorescent signal due to depletion of absorbed energy as a result of the vibration of the azo bond; whereas DCM showed a strong fluorescence at 650 nm. It was also shown by hypoxic cell imaging (A549 cells) that AZO-DCM is highly selective to pO<sub>2</sub> with 152-fold increase in mean fluorescence intensity under hypoxic condition. Therefore, it could be inferred that the azo bond is an excellent potential receptor for hypoxia detection.<sup>43</sup>



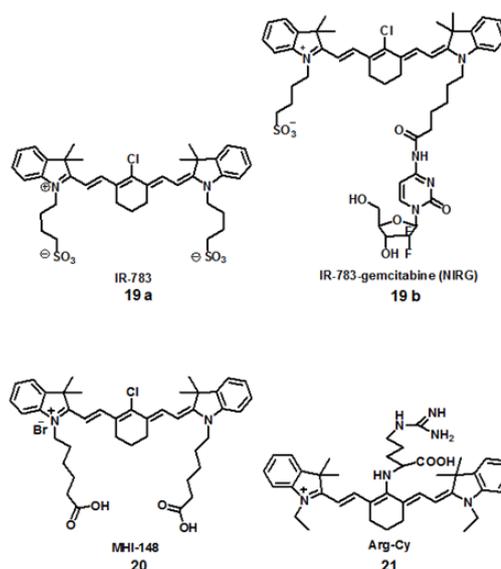
Tan and co-workers strategically designed and created analyte responsive NIR fluorogenic probes containing a small molecule ligand conjugated to a  $\gamma$ -phenyl-substituted Cy5 fluorophore for selective detection of proteins through a non-enzymatic process. The self-assembled fluorescence quenched J-type aggregate of probes in the presence of the target protein emitted bright fluorescence in the near-IR region. Probe 14a showed fluorescence enhancement by 48-fold at 648 nm in the presence of hCAII protein with a 17 nM limit of detection which was credited to the self-assembly/disassembly of Cy5 fluorophore dye as a result of the recognition-induced disassembly of the probe aggregate. Whereas probe 14b showed only 2-fold fluorescence enhancement in the presence of hCAII due to the absence of  $\gamma$ -substituted phenyl group at the pentamethinium bridge. The significant role of  $\gamma$ -phenyl-substitution in Cy5 fluorophore was supported by the observation that the classical Cy5 dye without  $\gamma$ -phenyl-substitution caused no fluorescence increase. Probe 14a was used for no-wash imaging of tumor cells (HeLa cells) for the detection of hypoxia-induced cancer-specific biomarker, transmembrane-type carbonic anhydrase IX.<sup>44</sup>

A series of NIR fluorescent sulfonamides<sup>15-18</sup> as hCAIX inhibitors for non-invasive *in vivo* detection and quantification of hypoxia induced hCAIX expression have been reported. The compounds caused inhibition of transmembrane hCAIX with different potencies ranging from 7.5-116 nM and up to a 50-fold selectivity over the cytosolic form hCAII. In addition to this, some of the compounds showed inhibition selectivity for transmembrane hCAIX and XIV. Fluorescence microscopy results showed the upregulation of hCAIX preferentially under hypoxic conditions in HeLa cells cultured under both normoxic and hypoxic environments. In the live animal studies these compounds were able to quantitatively image hCAIX upregulation. A pilot *in vivo* study of HT-29 tumor bearing mice showed significant accumulation of a fluorescent acetazolamide derivative in tumor tissue when compared to other tissues and 10% of injected dose was non-invasively quantified in tumors by fluorescence molecular tomography (FMT).<sup>45</sup>



A group of NIRF (near-infrared fluorescence) heptamethine carbocyanine dyes and derivative NIRF dye-drug conjugates have been synthesized for detection of primary and metastatic brain tumors in mice which was mediated by tumor hypoxia and organic anion-transporting polypeptide genes (OATPs). It was demonstrated by

both *in vivo* and *ex vivo* NIRF imaging that IR-783 (19a) was taken up specifically by the tumor bearing brains in mice, which also showed up to a 82.6-fold higher NIRF signal intensity in the brain as compared to that in other selected organs. NIRF dye can be taken up by brain tumors after effectively penetrating the BBB/BBB (blood-brain barrier/ blood-tumor barrier). Heptamethine carbocyanine dyes having charged side-chain terminal groups and a chlorine atom at the central meso position of the cyclohexyl ring and a negatively charged IR-783 or MHI-148 possessing a sulfate/carboxyl group are able to cross BBB. Also, these dyes in conjugation to chemotherapeutic agents such as gemcitabine (19b), significantly restricted the growth of both intracranial glioma xenografts and prostate tumor brain metastases and prolonged survival in mice.<sup>46</sup> A NIRF imaging agent, MHI-148 dye,<sup>20</sup> was used for detection of hypoxia in cancer cells through monitoring hypoxia inducible factor as a key mediator for *in vitro* and *in vivo* experiments. The cancer cells used genitourinary cancers as representative model systems and tumor xenografts exhibited hypoxia-dependent MHI-148 dye uptake leading to an increase of NIRF signals. This was directly mediated by hypoxia-inducible factor 1 $\alpha$  (HIF1 $\alpha$ ) and a group of hypoxia-inducible organic anion-transporting polypeptides (OATPs) as shown by microarray analysis and dye uptake assay *in situ* in perfused clinical tumor samples.<sup>47</sup>



A near infrared fluorescent probe Arg-Cy] was synthesized by conjugating L-arginine to tricarbochlorocyanine dye (Cy.7.Cl) exhibiting excitation and emission spectra at 680 nm and 749 nm, respectively. The probe (Arg-Cy) monitored the metabolic products of the reducing agent ascorbic acid (AA) in living cells based on the reaction of the guanidine group in Arg-Cy with the adjacent diketone involved in the metabolites of AA. The probe could successfully visualize L-xylosone levels in living cells under normoxic conditions accompanied by higher H<sub>2</sub>O<sub>2</sub> levels. However, in the hypoxic tumor microenvironment, the L-xylosone levels monitored during the process of HepG2 cell death induced by pharmacological doses of AA, showed no change in fluorescence and H<sub>2</sub>O<sub>2</sub> levels. The AA metabolized into L-xylosone only under normoxic condition due to oxidative stress but not under hypoxic conditions. A related metabolite used for detection of hypoxic environment in biological systems, DHA (dehydroascorbic acid), was selected instead of AA, as it is known that AA enters cells in the form of DHA in most cancer cells. Fluorescence studies in aqueous buffered solutions revealed that on

addition of DHA to disrupted (lysed) cell liquids already containing Arg-Cy there was an increase in fluorescence intensity at 749 nm, indicating the response of Arg-Cy towards metabolites of DHA under hypoxic conditions. Similarly, the Hep G2 cells already loaded with probe showed bright red fluorescence with DHA.<sup>48</sup>

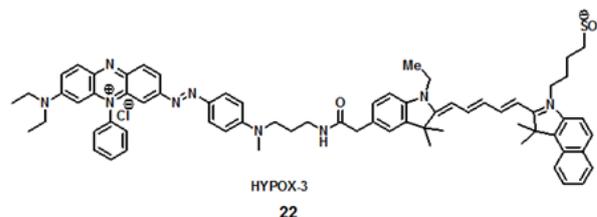
An injectable PTD-ODD-luciferase-NIR fluorescent dye (POL-N) has been developed, which generated NIR-BRET (bioluminescence resonance energy transfer) signals in a luciferase substrate-dependent manner for detection of ubiquitin-regulated hypoxia-inducible factor (HIF) activity *in vivo*. Because polyubiquitin tagging of proteins facilitates selective degradation into small peptides by the proteasome, it requires ubiquitin-activating enzyme (E1), ubiquitin-conjugating enzyme (E2), and ubiquitin-ligase (E1).<sup>49</sup> As the luciferase is fused to the E3 ligase-recognition domain of the HIF-1 $\alpha$ , POL-N is intact only in the HIF $\alpha$ -overexpressing cells. HIF-active cells generated signals as a result of the intramolecular BRET between luciferase and a near-infrared (NIR) fluorescent dye, where the dye was located at the C-terminal end of the probe. POL was labeled with a NIR fluorescent dye, AlexaFluor 680 (AF) which exhibited fluorescence at 702 nm on excitation at 684 nm resulting in a large overlap between the donor (luciferase) bioluminescence and the acceptor (AF) absorption spectra. The BRET signal generated at  $\lambda_{\text{max}}$  702 nm, generated from POL-AF was highly efficient in penetrating the biological tissues as compared to the original bioluminescence at  $\lambda_{\text{max}}$  547 nm from POL. The correlation between BRET signal intensity and HIF-1 $\alpha$  protein expression was examined in LM9/HRE-Fluc and SUIT-2/HRE-Fluc cells which showed that the BRET signal intensity quickly decreased in normoxic cells, where HIF-1 $\alpha$  was not detected, but increased in hypoxic cells, in which HIF-1 $\alpha$  accumulated. The intratumoral activity could be detected in a selective and fast manner as off-target signals of the NIR-BRET were very low. The probe successfully detected hypoxic liver metastasis within one hour of systemic injection of probe, which is difficult to detect by injectable imaging probes due to strong off-target signals.<sup>50</sup>

### Macromolecular and chelator based probes

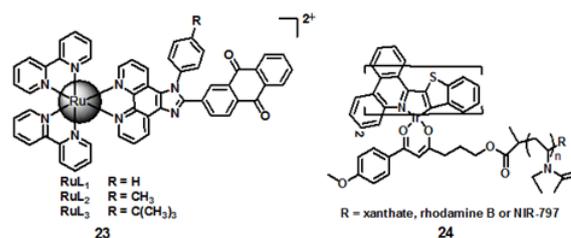
Macromolecular near-infrared imaging agents, two-photon luminescent probes, as well as luminescent transition metal complexes, and cationic conjugated polymers have been reported to overcome the anomalies of small/dye based molecular probes. They provide advantages of tunable photo-physical properties such as emission at longer wavelengths to minimize interferences arising from within biological samples (*i.e.*, auto-fluorescence), imaging cycling hypoxia *in vivo*, and high luminescent quantum yields. As detailed in this section, various macromolecular and chelator based probes detect the hypoxic environment either by directly monitoring oxygen levels or by indirectly measuring hypoxia inducible factors such as nitroreductase, carbonic anhydrase or pH value.

Very few probes are known in literature for direct identification of hypoxic cells. Most of the methods reported visualize hypoxia in tumor tissues using radioactive isotopes,<sup>51</sup> but there are reports that have also reflected the interest of having a noninvasive imaging technology available to detect hypoxia in retinal tissues.<sup>52</sup> Traditional technologies used for studying retinal hypoxia include pimonidazole mediated immunohistochemistry which have been limited to *ex vivo* methods of evaluation. In such model systems of retinopathy, hypoxia has shown to lead to reductive stress resulting in increased expression of reductases at the lesion.<sup>53</sup> Based on the feature of having reductase induced cleavage of azo-bonds, an optical imaging probe known as HYPOX-3 (22) has been developed and contains a hypoxia sensitive cleavable azo-bond which links a NIR dye to a

fluorescence quencher. The fluorescence intensity at 704nm of the HYPOX-3 was found to increase by 6.6 fold upon excitation at 670 nm after undergoing 4 hours of a hypoxic environment. The probe could detect oxygen concentrations down to 0.1 % with significant fluorescence enhancement. Importantly, the HYPOX-3 showed no acute toxicity, and it was found to be capable of detecting hypoxia by undergoing cleavage in hypoxic retinal cell culture and mouse models with a high signal-to-noise ratio; whereas HYPOX-3 fluorescence was undetectable in hypoxic cells and tissues under normoxia. These results are a promising move towards examinations with NIR probes for imaging hypoxia in preclinical disease models and in the future for patients.<sup>54</sup>

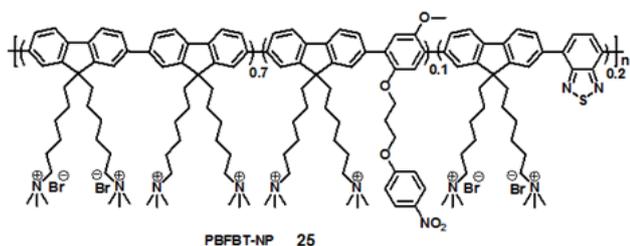


In order to improve the pharmacokinetic parameters of the previous HYPOX-3 probe,<sup>54,55</sup> HYPOX-4 was designed to allow enhanced diffusion into regions with limited vasculature for discriminating normoxia and hypoxia *in vivo*. Using the same means of enhancing the fluorescence via hypoxia induced reductases, the response of HYPOX-4 towards hypoxia *in vivo* and *ex vivo* was tested in a mouse model of oxygen-induced retinopathy with no apparent effect on cell proliferation and no acute toxicity.<sup>56</sup> It has been reported in literature that cycling hypoxia (recurrent hypoxia and re-oxygenation) induces the up-regulation of HIF-1 transcription factor in tumor cells, thereby lowering the effectiveness of cancer treatments including radiotherapy or chemotherapy.<sup>57</sup> For selective and dynamic monitoring of cycling hypoxia in live cells, three reversible ruthenium (II) anthraquinone complexes (RuL1-3) (23) have been developed as two-photon luminescent probes. The purpose of the design was to combine a luminescent ruthenium (II) complex, as a sensitizer, with a redox-active anthraquinone moiety, as a quencher. Due to their ability to accept electrons, quinones can serve as capable quenchers of fluorescence. The redox switch of the quinone/hydroquinone pair in the probe was afforded by reductases under hypoxic condition for the formation of the hydro-anthraquinone that was capable of two-photon luminescence. A corresponding imaging technique was effectively applied by implementing these probes to visualize hypoxia in tumor spheroids and to detect repeated cycles of hypoxia/reoxygenation in living zebrafish. Under hypoxic conditions, the reduction of non-luminescent RuL1-3 by reductases produced an 80-fold luminescent enhancement at 615 nm upon excitation at 458 nm with a luminescent quantum yield ( $\Phi$ ) of 0.40-0.92 % when compared to normoxic conditions. A critical part of the process was the ability of the probe to function in a fully reversible and repeatable manner in order to afford an “off-on” luminescent switching process when tested in buffered solution containing rat liver microsomes.<sup>58</sup>



An example of an oxygen sensitive macromolecular probe has been developed which makes use of emission in the near-infrared (24) for *in vivo* monitoring of the hypoxic tumor environment of various cancer models. This poly(*N*-vinylpyrrolidone) (PVP)-conjugated iridium (III) complex probe (Ir-PVP) was found to be able to generate a significantly higher luminescent intensity as compared to commercial oxygen probes. Probe Ir-PVP (24) utilizes the Ir(III) complex along with its highly conjugated ligand to extend the luminescence into the near IR region. The use of molecular oxygen itself quenches the luminescence; hence, this method is highly specific for hypoxia induced emission. By linking the PVP to rhodamine B as an internal standard, the probe was able to provide a means for ratiometric measurements, with Ir-PVP-RhB measured hypoxia in SH-SY5Y cells showing red emission due to increased emission intensity of the Ir moiety at 670-750 nm. *In vivo* imaging of tumor hypoxia was highly effective and because of the reduced clearance of PVP the probe measurement could be taken over 120 hours.<sup>59</sup>

Recently, a fluorescent cationic conjugated polymer (PFBFT-NP, 25) probe containing *p*-nitrophenyl groups in the side chain has been reported for detection of nitroreductase (NTR), which is indicative of hypoxia. PFBFT-NP showed two absorption peaks at 350 and 430 nm, respectively. The fluorescence of PFBFT-NP is quenched due to photo-induced electron transfer (PIET) which in the presence of nitroreductase leads to a 110-fold fluorescent enhancement at 530 nm. The enhancement occurs with excitation at 430 nm as a result of the specific conversion of the *p*-nitrophenyl group to *p*-aminophenyl by the nitroreductase; thereby leading to inhibition of the (PIET). The significant increase in the emission intensity of the probe afforded as low as 2.9 ng/mL of nitroreductase to be detected *in vitro* and furthermore it was shown that the hypoxia level in tumor cells could be visualized by fluorescence microscopy with a very strong green fluorescence in the nucleus for cells exposed to anaerobic conditions as compared to a weak green signal under normoxic conditions.<sup>60</sup>



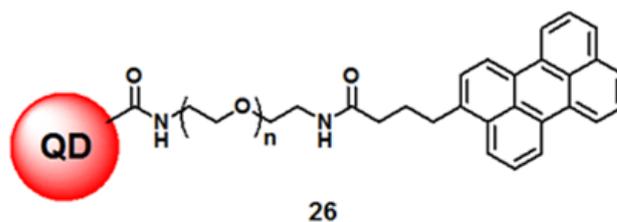
Near-infrared fluorescence imaging probes could also be utilized to monitor hyperbaric oxygen therapy (HBOT) which is used in the treatment of radiation-induced tissue injury. Because tumor hypoxia has been found to induce the upregulation of carbonic anhydrase IX (CA IX), near-IR hypoxia probes including Hypoxisense680 have been designed based on fluorescently labeled derivatives of the CA IX inhibitor acetazolamide. Studies with these probes have revealed that HBOT treatment caused an accelerated growth of non-irradiated tumors and enhanced hypoxia. During the last stages of HBOT sessions, mice were intravenously injected with the fluorescent carbonic anhydrase IX targeted imaging agent Hypoxisense680 for fluorescence molecular tomography (FMT) imaging. Such use of this *in vivo* near infrared fluorescence optical imaging technique allowed for the influence of HBOT on the hypoxic state of squamous cell carcinoma to be assessed and this probe has become a valuable tool for *in vivo* imaging and drug screening in rodent models.<sup>61,62</sup>

### Nanoparticle based probes

Nanoscale imaging probes such as quantum dots, magnetic nanoparticles, and carbon nanostructures have attracted attention for

medical application because of their robust and customizable physical properties. In one class of nanomaterial, upconversion nanoparticle (UCNP)-based nanosensors have been explored for oxygen sensing either as thin films or UCNP core/dense silica nanoparticles.<sup>63</sup> Other fluorescent nanoprobe include water dispersible nanoparticles comprised of  $\pi$ -conjugated polymers (CPs), which offer an inherent advantage of low-bandgap as compared to typical CPs.<sup>64</sup> In this section we will discuss these and other such inorganic nanoparticle based probes that can provide magnificent opportunities for *in vivo* imaging.

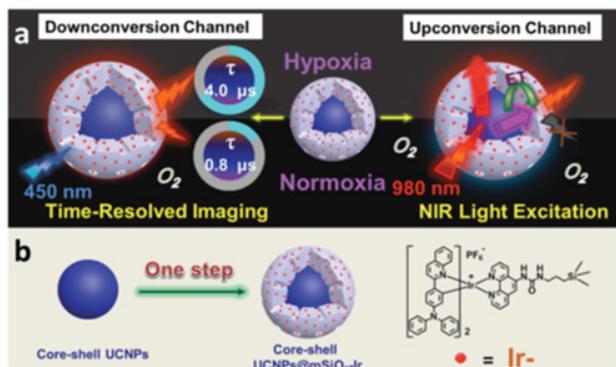
Due to the enhanced signal that quantum dots (QDs) can provide, as well as their inherent capability to not undergo photo-bleaching as compared to traditional organic fluorophores, QDs have been applied in recent years to biomedical imaging of hypoxia in deep tissue. In addition, *in vitro* assessment of oxygen levels have also made use of QDs, specifically a NIR emissive AgInS<sub>2</sub>/ZnS QD coupled to a perylene dye<sup>26</sup> has shown to serve as an effective ratiometric probe. The response of the probe towards varying oxygen concentrations in hypoxia was studied using enzymatic oxygen scavenging in water using glucose, glucose oxidase, catalase, and ATP to deoxygenate samples. The probe showed more than a 100% increase in ratiometric signal upon deoxygenation from 6.8 to 0.01 ppm. The ratiometric response of the probe to oxygen concentration was accompanied by emission of the perylene dye at 472 nm ( $\lambda_{\text{max}}$  427 nm) and that of the QD at 775 nm ( $\lambda_{\text{max}}$  365nm).<sup>65</sup>



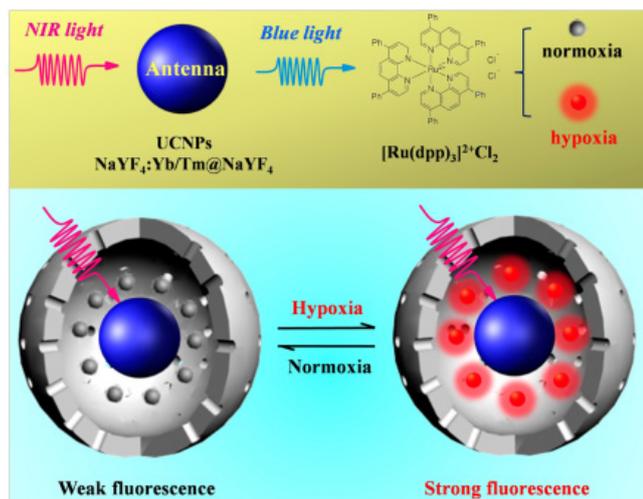
An oxygen nanoprobe made up of mesoporous silica coated lanthanide-doped upconversion nanoparticles (UCNPs) covalently attached by oxygen-sensitive Ir (III) complex (Figure 1) in the outer silica shell (denoted as UCNPs@mSiO<sub>2</sub>-Ir) could image intracellular hypoxia by using time-resolved luminescent imaging technology and near-infrared light excitation under both downconversion and upconversion channels. Upconversion luminescence (UCL) spectra of nanoprobe showed emission from complex Ir at 600 nm under NIR excitation of 980 nm due to energy transfer from UCNPs to complex Ir. The emission intensity between 560-630 nm decreased significantly with increase of oxygen concentration whereas the UCL of UCNPs is insensitive to oxygen concentration. The nanoprobe under the downconversion channel showed decrease of phosphorescence intensity at 600 nm with increasing oxygen concentration upon excitation at 450 nm. The emission lifetimes of the nanoprobe also reduced from 4031 ns to 836 ns at O<sub>2</sub> concentrations of 0% to 21%, respectively. *In vitro* tests confirmed that this probe was capable of intracellular hypoxia imaging in HeLa cells.<sup>66</sup>

In an upconversion LRET (luminescence resonance energy transfer) nanosensor, [Ru(Dpp)3]2+Cl<sub>2</sub> acted as an oxygen indicator and upconversion nanoparticles (UCNPs) acted as energy donors. Specifically, the UCNPs provided the excitation light for [Ru(Dpp)3]2+Cl<sub>2</sub> by the upconversion process under 980 nm excitation (Figure 2). The reported nanosensor detected hypoxic conditions reversibly by displaying luminescence, which was unaffected by acidic and reducing microenvironments in the cancer cells with enhanced sensitivity as compared to free [Ru(Dpp)3]2+Cl<sub>2</sub>. UCNP-based nanosensors enabled ratiometric sensing of hypoxia at UCL (upconversion luminescence) ratio (UCL613nm/ UCL477nm)

as the red emission band at 613 nm was greatly quenched at higher oxygen levels when compared to the emission band at 477 nm. Confocal imaging of living U87MG cells as well as zebrafish embryos also showed that the signal was reversible upon repeated exposure to hypoxia and subsequent normoxia conditions showed a clear luminescent quenching and recovery, respectively.<sup>67</sup>



**Figure 1** a) Mechanism and b) synthesis of core-shell UCNP@mSiO<sub>2</sub>-Ir. (Figure reproduced from reference;<sup>66</sup> use permitted under the Creative Commons Attribution License CC BY 4.0.).



**Figure 2** Overview of the use of UCNP for LRET based detection of oxygen. (Figure reprinted with permission from reference.<sup>67</sup>)

In other recent implementations of nanoparticle-based probes, low band gap conjugated polymers such as diketopyrrolopyrrole have been used for their excellent stability and high quantum yield. In one such example for hypoxia detection, researchers have taken advantage of diketopyrrolopyrrole with polystyrene as the organic photonic core and encapsulated this in the pluronic F-68. Additional blending of the cores with the additive platinum benzoporphyrin (PtBzPor) facilitated an oxygen responsive components as the near-infrared phosphorescence is altered in the presence of oxygen. As a result, a ratiometric signal from the two luminescent components could afford two-emissions wherein the oxygen content could be observed by the “turn-off” of the PtBzPor signal in relation to the internal standard fluorescence of the diketopyrrolopyrrole. This functional scheme benefited by having the excitation of both luminescent components in the blend at 610nm while the emissions were distinct. The phosphorescence at 760 nm of the oxygen sensitive PtBzPor could be quenched upon diffusion of oxygen molecules while the diketopyrrolopyrrole luminescence at 680nm could be used for normalization of the signal. The spherical core of the nanoparticles was found to be highly  $\pi$ -electron rich due to PS (polystyrene) as well as the high electron density of the PFDPP

(the diketopyrrolopyrrole with a semi-fluorinated side chain pluronic). Because of the particle's small size, approximately 20nm, they were capable of effective circulation as well as minimal uptake in the liver and kidney as seen after intravenous injection in mice. Noteworthy, this non-toxic nanoparticle blend was successfully used to detect pathological tissue deoxygenation at hypoxic sites in a ratiometric manner by interpreting the relative luminescence intensities.<sup>68</sup>

## Conclusion

This review illustrates the design and sensing mechanism of various fluorescent and luminescent probes based on small molecules, dyes, inorganic nanoparticles, quantum dots, and macromolecules for detection of hypoxic conditions non-invasively; either by measuring oxygen levels or detecting targeted hypoxia inducible factors. It has been proven that near-infrared fluorescence (NIRF) imaging is successful for detection of hypoxia and its translation into human studies. NIRF using indocyanine green (ICG) has been reported to be implemented intraoperatively in sentinel lymph node mapping to potentially supplant blue-dye visualization of tumor-draining lymph nodes in a number of cancers. NIRF-ICG tomography has also been used along with mammography and ultrasonography to detect lesions and to differentiate between malignant and benign breast lesions based on ICG washout kinetics. NIRF imaging has advantages over traditional and frequently used tracer nuclear magnetic techniques in cancer treatments, as it lacks radioactivity and has high photon count rates for rapid and sensitive imaging, therefore can be used more effectively for intraoperative visualization.<sup>69</sup> Such probes as these discussed above have shown noteworthy results which have already or are soon to find use *in vivo*. Among various probes, functional nanomaterials and quantum dots are found to be more attractive as compared to fluorescent dyes for hypoxia detection. Nanomaterials and quantum dots have particular benefits of broad excitation spectra, narrow defined tunable emission peaks, longer fluorescence lifetimes, resistance to photo-bleaching, and higher molar extinction coefficients. The resultant lowering of emission signal overlap offers brighter probes as compared to conventional fluorophores; but still careful evaluation of their potential toxicity is needed.<sup>70</sup>

Despite all the remarkable advances made in this rapidly emerging field of hypoxia detection using various formulations of fluorescent probes, there still persists considerable challenges for developing improved probes for *in vivo* imaging in a real clinical setting. The various factors such as auto-oxidizability, high photostability, biocompatibility, biodegradability, low interference from biological environments, and toxicity screening are required to be carefully monitored for developing *in vivo* bioimaging probes in clinical situations. Also, ratiometric fluorescence imaging and phosphorescence lifetime imaging should be explored along with traditional fluorescent/luminescent imaging for improved accuracy of output signals. Therefore, to achieve the optimum success in design and practical application of desired probes for hypoxia detection, it is imperative to speed up the interdisciplinary research collaborations amongst various fields such as chemistry, materials science, nanomedicine, and bioimaging in order to put continuous efforts for fruitful results in real world applications of cancer diagnosis through sophisticated investigative techniques.

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## Conflicts of interest

The authors declare no conflict of interest.

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