

# Role of Heat Shock Proteins in Livestock Adaptation to Heat Stress

## Abstract

The present review is an attempt to signify the importance of heat shock proteins in livestock adaptation during heat stress. The cellular and molecular responses in livestock are very crucial as it may lead to identification of confirmatory biomarker for heat stress in livestock. Thermo-tolerant gene expression and elevated heat shock protein (HSP) levels are observed to be the ultimate response through which the cell survives the heat stress. The HSPs have chaperonic activity ensuring the folding, unfolding and refolding of stress-denatured proteins. The components of heat shock response include heat shock factors (HSFs), heat shock element (HSE) and HSP. The cellular response to heat stress in mammalian organisms is controlled at the transcription level and it is mediated by a family of HSF which are regulated by the corresponding HSF genes. The activated HSFs bind with the HSE in the promoter region of HSP genes culminating in enhanced transcription of HSP mRNA. The HSP70, HSP90 and HSP27 are the predominant HSPs having protective role during heat stress in farm animals. Among these HSPs studied, HSP70 was identified to be the ideal biological marker for quantifying heat stress in animals.

**Keywords:** Heat stress; Heat shock factors; Heat shock response; HSP70; Livestock

## Review Article

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**Abbreviations:** APP: Acute Phase Proteins; CAT: Catalase; EVHL: Evaporative Heat Loss; FAO: Food and Agricultural Organisation; GDP: Gross Domestic Product; GPX: Glutathione peroxidase; Hp: Haptoglobin; HS: Heat Stress; HSE: Heat Shock Element; HSF: Heat Shock Factor; HSP: Heat Shock Protein; IFN: Interferon; IL: Interleukin; MDA: Malondialdehyde; NOD: Nucleotide-binding Oligomerization Domain; PBMC: Peripheral blood mononuclear cell; ROS: Reactive Oxygen Species; SNP: Single Nucleotide Polymorphism; SOD: Superoxide Dismutase; TBARS Thiobarbituric Acid-Reactive Species; THI: Temperature Humidity Index; TLR: Toll-like receptor; TNF: Tumor Necrosis Factor; TNZ: Thermo-neutral zone

## Introduction

The contribution of livestock sector in the Indian economy is noteworthy with 40% of the national gross domestic product (GDP) and 90% of agriculture GDP contributed by this sector, [1,2]. The climate is an important factor influencing agricultural productivity and the livestock sector being a major component in agriculture is impacted at an alarming rate. Climate change leads to alterations in temperature, precipitation, atmospheric greenhouse gas concentration which ultimately affects all the ecosystems on the earth. Different environmental stress that arises as a result of climate change affects their productivity, reproductive efficiency, and health which ultimately leads to severe economic losses [3,4]. Biological markers or biomarkers are substances that indicate the biological states or indicative of a change in gene expression or state of protein. Such markers play

a significant role in assessing the stress adaptation mechanism in livestock. Conventionally biochemical markers have been used for the identification of animals with high genetic merit for economic traits in cattle. Molecular markers can be used as reference point in breeding to identify, manipulation and to cross-breed for the improvement of genetic potential in livestock species. Thus, the overall improvement in livestock species is aided by the use of molecular markers to a great extent. The selection of thermo-resistant animals is an effective way to improve the productivity of cattle during high environmental temperature. It is known that zebu breeds are having more heat tolerance compared to breeds of European origin. Identification and exploitation of genotypes having thermo-tolerance in cattle are a major concern in the changing climate scenario which can have a great impact on livestock productivity.

There are several factors like biomass productivity, age, nutrient availability, water availability, photoperiod, and environmental conditions that affects livestock production. The environmental stress, particularly heat stress (HS) is the major concern in the livestock sector [4-6]. The productive parameters like milk yield, growth, reproduction and carcass traits can be negatively impacted by HS. Indigenous variety have the more adaptive capacity to environment stresses, however, they have less average productivity in case of Indian livestock. Therefore, studies for the identification of the genes having thermo-tolerance in indigenous variety can be utilized to a large extent for the genetic improvement of the animals for getting adaptive as well as productive varieties.

To maintain homeostasis, stress is essential in all living organisms. Acclimation, acclimatization, and adaptation are the mechanisms through which the animals cope with the stressors. Acclimation includes the phenotypic responses produced by the animal to a specific stressor in the environment, whereas acclimatization is the long-term physiological adjustments as a result of continued exposure to multiple stressors. Acclimation is of less relevance compared to the latter as it is harder to find an environment with a single variable changing. However, both these mechanisms are phenotypic responses as it is induced by the environment and the response goes once the stress is removed. It is usually produced to improve the fitness of the animal to the environment. Animals develop specific adaptive mechanisms if the environment stressors are present for a prolonged period. The mechanisms of adaptation include morphological, behavioural, biochemical and physiological changes. Biochemical adaptation to thermal stress involves changes in proteins, membrane lipids and metabolic rate [7]. Genetic markers are of prior importance in the recent revolutionary developments in the field of molecular technology because of its viability as the biochemical adaptation can be utilized in livestock breeding programs compared to the behavioral, morphological or physiological responses to stress which is of less relevance. Heat shock protein is an important biomarker produced as cellular and tissue defense mechanism whose expression is markedly increased during heat shock [8]. The present review is an attempt to signify the importance of heat shock proteins in livestock adaptation during HS.

### General Cellular Responses to Heat Stress

Cellular exposure to thermal stress induces a number of anomalies in the functioning of cells which alters the biological molecules, disturbs cell functions, modulates metabolic reactions, induces oxidative cell damage and activates both apoptosis and necrosis pathways, ultimately leading to cell survival, acclimation or cell death depending on the time and success of these alterations [9-11]. Enhancement of cytoprotective networks of HSPs, anti-oxidative, apoptotic and stabilization of the hypoxia inducible factor- $\alpha$ , the master regulator of oxygen homeostasis is taken as the hallmark of acclimation process [12]. The response of bovine embryos and mammary epithelial cells to HS has been well described in dairy cattle [13]. Oxidative stress observed during summer in livestock animals is attributed to HS [13]. Thermo-tolerant gene expression and elevated HSP levels are observed to be the ultimate response through which the cell sustains the impact of HS making it a full proof biomarker for the condition. The HSP is one of the cellular proteins found most abundantly under non-stress conditions also. One of the primary ways through which scientist across the globe are establishing the severity of HS is by expression of HSP [15,16]. Over expression of HSPs provide protection against hyperthermia, circulatory shock, and cerebral ischemia during heat stroke which signifies the central role of HSP in cytoprotection [14]. The HSPs have chaperonic activity ensuring the folding, unfolding and refolding of stress-denatured proteins [17]. Hydrophobic protein sequences liberated by denaturation gets bounded with the HSPs which otherwise would interact with other neighbour proteins resulting in loss of protein function.

Oxidative stress affects the antioxidant defense resulting from overproduction of free radicals and reactive oxygen species (ROS), [11,14]. Increase in ROS production, especially the superoxide anion ( $O_2^-$ ) is observed during HS [11,18]. HS was shown to raise both of Thiobarbituric acid-reactive species (TBARS) and malondialdehyde (MDA) levels in broilers, buffalos and dairy cows which are the major products of lipid peroxidation [19,20]. Antioxidant enzymes activities, namely superoxide dismutase (SOD), catalase (CAT) and glutathione peroxidase (GPX) were observed to increase in HS in livestock [11]. Elevated concentration of SOD and GPX concentration was observed in prepartum cows with peaks around calving during summer month. Antioxidant activity studied during winter and summer season in growing calves, heifers and lactating Murrah buffaloes showed significantly higher concentration of GPX in all three experimental groups during summer [21]. Mitochondria are the first cellular compartment to be damaged during HS through disturbance in ROS [11]. It get swollen, cristae are broken and low matrix density was observed during HS. Intrinsic pathway of apoptosis is activated by increased free radical and cell necrosis, was demonstrated in livestock during HS [9].

### Heat Shock Response Components

Thermo-tolerance is identified as more of a quantitative trait which is influenced by genomic regions at the target gene important for thermoregulation through genomic studies in both beef and dairy cattle [22]. The cellular response to thermal stress in mammalian organisms is controlled at the transcription level and it is mediated by a family of heat shock transcription factors (HSF) which are regulated by inducible expression of HSF genes. Different isoforms of HSF are present in different livestock species. The isoform HSF1 is initially activated by the hydrophobic domain of the unfolded protein during HS which undergoes trimerization in nucleus and hyperphosphorylation. The HSFs after activation bind with the HS elements (HSEs) present in the promoter region of these genes leading to enhanced transcription of HSP mRNA. The HSF1 to HSF4 has been reported till date in large eukaryotes of which HSF1 has been mainly studied and reported to have a direct correlation with thermo-tolerance in livestock. The HSF3 has been reported to be present only in poultry. The HSF1 and HSF3 are activated during HS whereas HSF2 is activated to other cellular stress other than thermal stress. The HSF2 is a short-lived protein that ensures the continued expression of chaperons acting as inducible regulator when misfolded proteins have been marked for degradation. The coordinated effort of multiple HSFs provide chaperonic coverage to the cellular activities and protects the unfolded proteins. The HSF1 is mainly correlated with induction of HSP70 gene expression. The HSPs are highly conserved protein which is activated by numerous physical and physiological stressors [23]. Elevation or prolongation of the HSP response would also improve thermo-tolerance in bovines [13]. The variation in evaporative heat loss (EVHL) among animals and the central role that HSF1 has in coordinating thermal tolerance suggest that there is an opportunity to improve thermo-tolerance via manipulation of the genes controlling the expression of HSF1 and those regulating EVHL in cattle.

## Significance of HSP to Livestock Adaptation

Thermoneutral zone (TNZ) is when the animal doesn't have to expend energy to maintain its normal body temperature for homeostasis and HS represents the response of the body to stimuli that disturb homeostasis which is normally in the range of 4 and 25°C for most of the farm animals, and temperatures exceeding 25°C will result in HS [24,25]. Thermoregulation is the process by which livestock species maintain a balance between the heat production and heat loss mechanisms in their body to maintain a relatively constant body temperature [26]. Animals undergo HS once this balance in energy gets disturbed and the temperature humidity index (THI) exceeds 72, with severe HS occurring when THI exceeds 88. When the farm animals get exposed to environmental stress there are proteins which preferentially get expressed under these conditions like slick hair gene, ATP1B2 and heat shock proteins (HSPs) [22,27,28]. During stressed conditions in the cell, HSPs interact with denatured proteins and inhibit the formation of cytotoxic protein aggregates, thereby maintaining the protein homeostasis of a cell [29]. The HSPs are highly conserved proteins which get activated by heat and other stressors and act as molecular chaperons which confer thermotolerance and the ability of the cell to survive injury and oxidative stress whose induction induces thermotolerance [23,30]. The function of HSP70 as a molecular chaperone and cell protection against HS capable of denaturing proteins has been studied through extensive research [31].

Several studies have been done to identify genetic polymorphism in HSP70 genes of cattle. The HSPs provide protection against the adverse effects of heat and chemical or abnormal stresses [32]. The HSPs provide signaling to the immune system to encourage increased killing of pathogenic bacteria by neutrophils and macrophages and other innate immune cells against invading bacteria. The HSP70i, an inducible form of HSP70, having a molecular weight of 70kDa has been proposed as a forecaster for thermotolerance at the cellular level in livestock species. Investigations carried out to find out the association between the heat shock response of mononuclear cells in blood and SNPs at the 5' UTR of HSP 70.1 yielded in understanding the importance of these mutation sites as molecular markers.

## Mechanism of HSP expression

The cellular response to HS is one of the HS response components to maintain thermo-balance when an animal's microenvironment ventures outside its thermoneutral zone [33]. Gene networks within and across cells and tissues respond to HS through intracellular and extracellular signals with gene expression changes in which activation of heat shock transcription factor (HSF1) and increased expression of HSP have been widely studied in most of the organisms including bovine, cattle and mice [13]. Upon heat stimulus, the HSF1 monomer previously bound to HSP during unstressed condition in the cytoplasm, get dissociated and bind with other HSF monomers for trimerization before their nuclear translocation. The HS target gene transcription gets activated with the binding of the homotrimeric HSF on HS element (HSE) in the nucleus and

hyperphosphorylation resulting in enhanced expression of HSP mRNA [13]. The HSF1 which was previously associated with only the HSP regulation has now been associated with carbohydrate metabolism, transport, cytoskeleton, and ubiquitination during HS [34]. The bio-synthesis and chaperonic functioning of HSPs is an energy seeking process, which requires a trade off between heat tolerance and metabolic energy for growth and reproduction [35,36]. Thus it requires a certain threshold level of temperature above which the HSP expression will be induced on different livestock species dwelling in different tidal zones which showed different HSP expression [36,37]. Three related activities are involved usually in the functioning of HSP70 in all organisms: prevention of aggregation of proteins, facilitating folding of misfolded to the native state, and solubilization and refolding of aggregated proteins [29]. ATP binding and hydrolysis are necessary for the chaperonic activity of HSPs where co-chaperones of the family of J-domain proteins, which target HSP70s to their substrates, and by nucleotide exchange factors, which determine the lifetime of the HSP70-substrate complex will control the ATPase cycle [29]. HSP70 homologs along with chaperons of HSP100 family (HSP104/ClpB) promotes the solubilization of the protein aggregates and ensuring refolding into thenative state. A negative correlation between HSP70 expression and HSF1 activity with milk production during summer and winter was clearly reported in an experiment on buffaloes subjected to HS, indicating the induced expression of genes to cope up with the metabolic load resulting in reduced milk production [38]. In *Saccharomyces cerevisiae*, *Caenorhabditiselegans*, and *Drosophila*, only a single HSF is expressed, whereas at least four members of HSF gene family get expressed in vertebrates and plants and three HSFs (HSF1, HSF2, and HSF4) has been characterized in humans [39,40]. Goose HSP70 plays an important role during HS with over expression of HSP70 and corticosterone level which also showed gender and tissue specificity in this species [41]. The HSP70 gene sequences (HSPA8, HSPA6, HSPA1A, HSPA1L, and HSPA2) are highly conserved and shared a high similarity (up to 91%) in goats with heat shock proteins of other mammals. Nucleotide sequence of goat HSP70 genes were observed to be similar with cow and pig followed by horse, camel and human, indicating a close evolutionary relationship among HSP70 family genes [42]. Figure1 describes the cellular and molecular mechanisms of heat stress emerging in the production of HSPs.

## Types of HSPs studied in livestock during HS

Based on the molecular weight and biological functions, HSPs is classified as HSP 110, HSP100, HSP90, HSP70, HSP60, HSP40, HSP10, and small HSP families, of which thermo-tolerance development is mainly correlated with HSP70 and HSP90 in livestock species [11,43,44]. HSP70 namely, HSP70-1 and HSP70-2is reported to be the most abundant and temperature sensitive [45]. In farm animals, elevation in HSP70 and HSP90 was observed in sheep, buffalo, cattle, broilers and goats [11,49,50]. The highest level of plasma HSP70 and PBMC HSP70 mRNA transcript expression was reported in Osamanabadi goats exposed to heat and nutritional stress as compared to the control goat which was maintained in the shed and fed *ad libitum* [50]. The HSP70 along with HSP27 and HSP90 proteins is observed to be anti-apoptotic

in mammalian cells [51]. Cytoprotective function of HSP70 has been established in many organs such as intestine, kidney and embryo of cattle [31]. The HSP70 is one of the most abundant HSP family playing a crucial role in environmental stress and thermal adaptation in goat [42,52]. Increased expression of many HSPs including HSP32, HSP40, HSP60, HSP70, HSP90, HSP110 and many others are also observed in goat during hyperthermic stress [15,52,53]. The HSP25, HSP90AA1, HSPA2 were found to show overexpression in heat-stressed chickens [54]. The concentration of HSP70 in serum lymphocytes of Murrah buffalo was found to be high following dry heat exposure compared with controlled condition [55]. Significantly higher expression values of HSP 70 was seen in buffalo during summer season ( $2.37 \pm 0.12$ ) compared to winter ( $0.29 \pm 0.04$ ) [56]. The association between inducible HSP70.1 single nucleotide polymorphisms (SNPs) and the HS response of peripheral blood mononuclear cells, (PBMC) was studied in dairy cows by genotyping 446 Italian Holstein cows [33]. The presence of SNPs in the 5'-UTR region of

inducible HSP70 showed amelioration of heat stress response and tolerance to heat stress in bovines. These mutation sites would be serving as the appropriate molecular genetic markers for thermal tolerance. The differential rate of HSP mRNA expression was observed between *Bos indicus* and *Bos taurus* embryos produced *in vitro* subjected to HS [57]. The HSP27 expression was found to be upregulated in beef cattle which was stressed by high-density housing and given indoor concentrate grazing [58]. It has been reported that serum HSP-70 levels increased in poultry subjected to HS and also indicated that the decrease in spermatogenic cells in testis of the stressed group is due to the repression in HSP-70 levels [59]. The HSPA1A was up-regulated following HS in Sahiwal heifer [60]. A strong relationship was also established between chicken and HSP 70 genotypes during heat resistance and several studies revealed that there are some polymorphism sites that can be effectively used to identify the heat tolerant trait in chicken respectively [61-63]. Table 1 describes the different HSPs in farm animals.

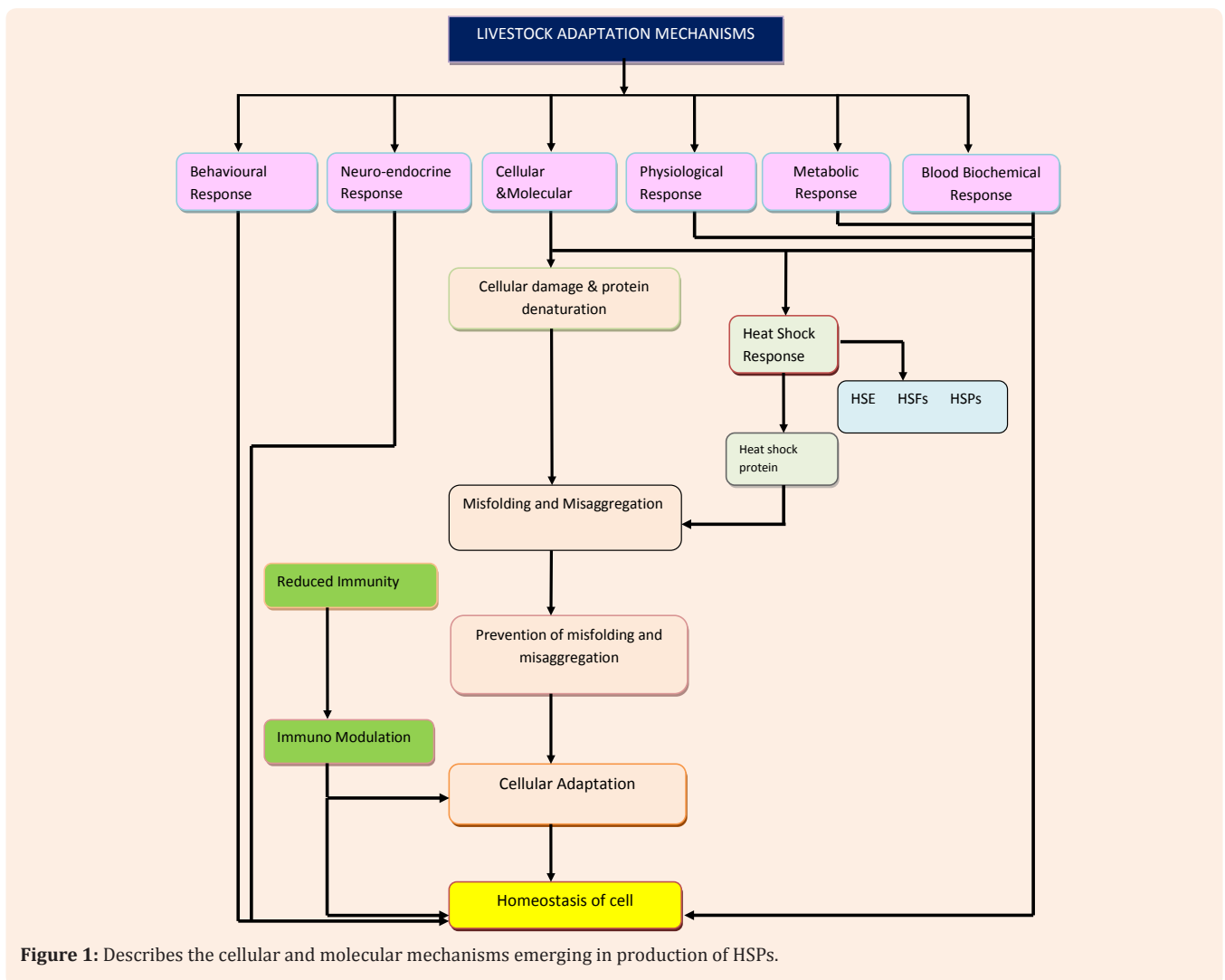


Figure 1: Describes the cellular and molecular mechanisms emerging in production of HSPs.

**Table 1:** Different HSPs studied in farm animals.

Species	Type of HSP	Organ/Blood	Reference
Italian Holstein cows	HSP70.1	PBMC	[33]
Cattle	HSP70	Intesine, kidney and embryo	[31]
Tarai buffalo	HSP 70	Serum lymphocytes	[56]
Osamanabadi goats	HSP70	Plasma and PBMC	[50]
Beef Cattle	HSP27	Skeletal Muscle	[58]
Sahiwal heifer	HSPA1A	Testes	[60]
Chicken	HSP70	Brain	[61]
Nellore and Jersey	HSP70	Embryo	[57]
Murrah buffalo	HSP70	Serum lymphocytes	[55]
Broiler	HSP25, HSP90AA1, HSPA2	Plasma	[15]

### HSP gene: Expression and Characterization

The molecular characterization of HSP70-1 gene in goat revealed that at nucleotide level, there was 96–99% similarity with that of sheep, cattle, and buffalo whereas 95–100% similarity at amino acid level [15]. Sequence analysis in the same study reported that there is 1926-bp-long open reading frame of HSP 70-1 gene encoding 641 amino acids in goat, as reported in cattle. The 5' flanking region of HSP70 gene in Zebu cattle of Haryana breed was characterized for cis-acting sites which, when compared with that of Taurus cattle revealed that promoter variation may not be the source of the difference in expression level of HSP 70. They confirmed this based on non-significant changes in the HSP 70 promoter region between these breeds suggesting that the promoter variation may not be the source of the difference in expression level of HSP 70 in zebu and Taurine cattle types [64]. However other reports suggests that the polymorphism in the promoter region as a reason for the variation in HSP70 mRNA, HSF1 mRNA expression level, and apoptosis and hence these mutation sites can yield as useful genetic molecular markers against HS in cow [65]. In addition, there are variations reported in HSPB6 gene in Sahiwal cattle which might be used for obtaining better thermo-tolerance capacity [66]. The amino acid sequence analysis of HSP70 in buffalo lymphocytes showed 98 % identity with *Bos taurus*, *Bos indicus*, Yak, *Capra hircus* and 90–95 % identity with *Camelus dromedaries*, *Feliscatus*, *Canisfamiliaris*, *Sus scrofa*, and *Homo sapiens* and it reported 1,926 bp long open reading frame of HSP70 gene encoding 641 amino acids in buffalo [67]. The expression levels of HSP70 mRNA in all tissue of goose (except the leg muscle and cerebellum) were found to be significantly higher in male geese than in female, indicating the greater thermo-resistance in female geese. Further, it was reported that the absence of introns in goose HSP70 and in the same study they did a correlation analysis of SNPs for immune and biological traits which showed that there was a significant correlation of T+237C with serum corticosterone level and T+1122C with the heterophil to lymphocyte ratio [41].

### Functions of HSPs

One of the first physiological functions associated with the stress-induced accumulation of the inducible Hsp70 was acquired thermotolerance which is defined as the ability of a cell or organism to become resistant to HS after a prior sublethal heat exposure [8]. The HSP70 has been suggested to function as an indicator of thermotolerance in cells [27,68]. Elevated levels of HSP was reported during exposure to different environmental stresses including heat, cold, infection, inflammation, exercise, exposure of the cell to toxins (ethanol, trace metals, and among many others), starvation, hypoxia (oxygen deprivation), or water deprivation [54]. Though a generalized mechanism of stress-induced production of HSP is present in all cells, there will be difference in the capacity of individual animals to cope with the stress, along with the nucleotide changes occurring naturally in the flanking regions 5'- and 3'-untranslated region (UTR) of HSP gene resulting variation in inducibility, degree of expression, and/or stability of Hsp70 mRNA, which again contributes to different stress tolerance in individual animals [69]. There were also investigations done to study the association between SNPs in HSP70 in bovine, [69] and swine [70]. Earlier there were also studies which revealed the association between SNPs in HSP with respiration rate and body temperature [69,71]. The increased HSP70 expression was also associated with shorter productive life of cattle and reproductive parameters including pregnancy rate, calf weaning weights and fertility in dairy cattle [72-75] which in whole indicated the significance of SNPs at promoter elements of HSP70 that can be used as one of the reference to be added for selecting dairy cattle in terms of thermo adaptability [69].

Studies revealed that HSPs play regulatory roles in various types of immunity [55]. It was studied that the augmentation of HSP-derived peptides in buffalo lymphocytes increased the innate and adaptive immune effectors [55]. In the recent years, there have been several studies suggesting the role of HSP70 in the induction of antitumor immunity by inducing production of chemokines from tumor cell and activation of the chemoattracted dendritic cells via the TLR4 pathway based on experiments done in mice [76]. It was reported that HSP70 (including HSP70i and HSC70) would be the major HSP responsible for the autocrine induction of chemokines from tumor cells. A class of proteins termed as acute phase proteins (APP) which changes its serum concentration by >25% in response to inflammatory cytokines (IL-1, IL-6, TNF $\alpha$ ) is being used as biomarker for immune/inflammatory stress in ruminants both in experimental and field conditions although there is lack of specificity to individual stress [77]. Further, Haptoglobin (Hp), an APP is considered as a potential biomarker during thermal stress in cattle [77]. The welfare status of calves in production systems can be reported on more accurately with accurate determination of effector molecules like APP [77]. Over-expression of HSP70 and TNF-a and suppression of IFN-g, and genes involving nucleotide-binding oligomerisation (NOD) domain receptor pathways in bovine leukocytes is reported during HS in calves [77]. It has been reported that the digestive enzyme activity significantly increases through over-expression of HSP 70 in broiler that may improve intestinal digestion and absorption function under acute HS, though no changes in morphology conditions in intestine were observed and further

studies have revealed that glutamine supplementation enhanced in HSP70 protein or mRNA expression during thermal stress [78].

In recent years there have been studies on the role of HSPs in early embryonic development and reproductive efficiency, both *in vitro* and *in vivo*, which can be used for developments in production agriculture. In Holstein cows, differences in HSP40 genes have been reported which can be attributed to the improved early embryonic development *in vitro*, indicating that these proteins may have a greater role in reproductive efficiency, even in animals that are not adapted to the tropical environment conditions [79]. The reproductive performance difference has been identified in *Bos indicus* breeds which have been associated with polymorphism in HSP70 [75,79].

## Conclusion

The cellular response is one of the primary pathway by which livestock tries to cope up to the heat stress challenges. This is the pathway that helps the animal to survive the stress condition. The end product of this pathway is the synthesis and release of HSPs. The components involved in heat shock response are: HSF, HSE and HSP. The most commonly studied HSPs in farm animals are HSP70, HSP90 and HSP27. Of all these HSPs studied, HSP70 was identified to be the ideal biological marker for heat stress in farm animals.

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