

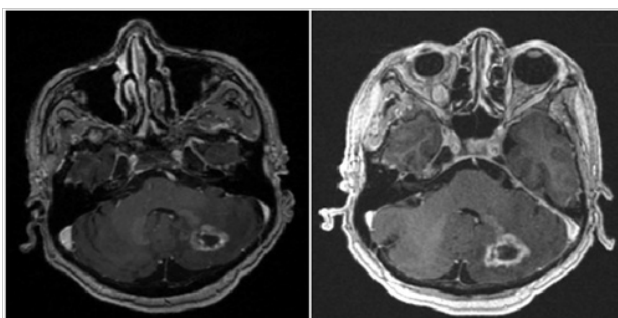
# Pseudo-progression in breast cancer brain metastasis after initiating therapy with ado-trastuzumab emtansine

## Abbreviations

IDC, invasive ductal carcinoma; ER, estrogen receptor; PR, progesterone receptor; HER2, human epithelial growth factor receptor 2; XRT, external radiation therapy; SRS, stereotactic radio surgery; WBRT, whole brain radiation therapy; Tdm-1, ado-trastuzumab emtansine; BCBM, breast cancer brain metastases; TNF- $\alpha$ , tumor necrosis factor-alpha

## Clinical practice points

A 60-year-old woman initially presented with primary inflammatory left breast cancer. Breast biopsy showed invasive ductal carcinoma (IDC), grade 2; immuno histochemistry showed positive staining for the estrogen receptor (ER), progesterone receptor (PR), and human epithelial growth factor receptor 2 (HER2). Extensive metastasis of disease was noted with metastases present in the lungs, liver, bones, spine, right internal mammary, right axillary and retroperitoneal nodes. She was started on letrozole and lapatinib (note--patient was on bone agents with each line of therapy including pamidronate, zoledronic acid and denosumab). Shortly after treatment initiation patient underwent palliative external radiation therapy (XRT) to the lumbar spine for right hip pain and difficulty walking. Four months later she developed nausea/vomiting and was found to have 2 brain metastasis which were treated with stereotactic radio surgery (SRS). Shortly after this trastuzumab was added to the letrozole and lapatinib combination due to worsening bone metastasis. Four months later there was continued progression of disease with growing breast mass and lung/liver metastasis--her therapy was changed to capecitabine and trastuzumab.



**Figure 1** Axial 3D IR fast SPGR post-contrast images show interval increase in size in a metastatic lesion in the left cerebellar white matter from 1.3 cm x 1.7 cm x 1.7 cm (SAT) (Figure 1a) to 1.6 cm x 1.8 cm x 2.3 cm (SAT) 3 months later (Figure 1b).

Five months later patient had worsening brain metastasis that was treated with whole brain radiation therapy (WBRT) along with palliative left breast radiation during which time the capecitabine was held. Three months after re-starting capecitabine there was continued disease progression on exam with growing breast mass and jaundice. Re-staging scans showed worsening lung and liver metastasis and

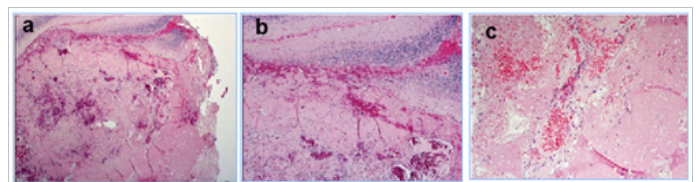
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therapy was changed to protein-bound paclitaxel and trastuzumab. She also had worsening of the brain metastasis and hip pain and received XRT to bilateral hips and SRS to the brain. After 5 months of therapy with protein-bound paclitaxel and trastuzumab she had worsening of her bone and brain metastasis and was enrolled on a clinical trial with neratinib. Three months later she had progression of the disease in the lungs and she was enrolled in the extension phase of the clinical trial where trastuzumab was added to the neratinib. Her disease was well controlled until 1 year later when there was progression of disease in the brain and she was taken off the clinical trial and started on ado-trastuzumab emtansine (tDM-1).



**Figure 2** The left cerebellar mass that was resected on pathologic review was chronic, non-neoplastic and histopathically consistent with radionecrosis.

**Figure 2a** 20x magnification.

**Figure 2b** 40x magnification.

**Figure 2c** 100x magnification.

After 2 cycles of therapy with tDM1 a MRI of the brain showed a white matter size increase in the left cerebellum with two new metastasis present on the roof of the fourth ventricle and in the left middle frontal gyrus without mass effect (Figure 1). A craniotomy of the left cerebellum was performed to resect the mass. Pathologist noted that the mass measured 2.3 x 1.7 x 1.5cm. It was cerebriiform with a variegated appearance ranging in color from pink to maroon to yellow. Microscopic examination of the specimen displayed coagulated necrosis along with rarified cerebellar white and grey matter. Gliosis, macrophage infiltration, Purkinji cell depopulation, and Bergman gliosis were present. A few eosinophilic granular bodies

were also seen. There was no underlying neoplasm, either metastasis, glioma, or hemangioblastoma, present in sample. It was concluded that the presumed metastatic lesion on the left cerebellar was chronic, non-neoplastic and histopathically consistent with radio necrosis, both with the histopathology review and medical records (Figure 2). Patient continued on therapy with tDM1 for almost 1 year when she had progression of her cancer in the lungs and breast at which time she was started on pertuzumab, trastuzumab and liposomal paclitaxel. 3 months later she had progression of disease and worsening of her performance status so hospice was recommended and she passed away 11 months later having lived for more than 6 years from her initial diagnosis of metastatic breast cancer.

## Introduction

Breast cancer brain metastases (BCBM) are common in patients with advanced stage disease and observed in 10-30% of patients with metastatic breast cancer. BCBM are more common in the HER2+ and triple negative subtypes of breast cancer and about 25% of HER2+ metastatic breast cancer patients will develop brain metastasis. Most breast cancer therapies fail to penetrate the blood-brain barrier (BBB), allowing tumor recurrence in the brain.<sup>1</sup> In a study of 222 consecutive patients with breast cancer, the median survival of patients presenting with metastatic brain lesions with HER2+ primary breast cancer without treatment, after chemotherapy, and adjuvant chemotherapy with targeted therapy was 3, 8, and 11 months respectively.<sup>2</sup> Pseudo-progression has previously been reported with use of radiation and tDM-1. We report a patient with extensive metastatic disease including BCBM. This patient was placed on tDM-1 and appeared to have progression of the BCBM on brain MRI. The BCBM were surgically resected and found to be pure radio-necrosis with no neoplasm present. Diagnosis of cancer progression is challenging and we report this rare case of pseudo-progression of BCBM due to treatment with tDM-1.

## Discussion

Radiation therapy is an important modality used in the treatment of patients with metastatic brain disease and post radiation treatment includes serial MRI analysis. Little is known about radio necrosis after radiotherapy and data on actual risk in the population of treated patients is scarce. Patients receiving radiotherapy for brain tumors can present clinically with apparent increasing tumor development that could suggest progression of disease, yet it could also be attributed to edema and necrosis in the tumor bed with no tumor present. Important disease management decisions can be complicated by any change in patient's MRI that could suggest disease progression when, in fact, the change could be due to radiation induced injury.<sup>3</sup> In 1990 MacDonald et al.,<sup>4</sup> defined criteria for evaluating response to treatment in disease progression which included variations in tumor enhancing area, neurological function, steroid usage and other important factors.<sup>4</sup> More recently, in 2010, a RANO working group published an updated version to this criteria for MRI that defined treatment responses and criteria that included T-1 gadolinium enhancing disease, T2/Flair changes, new lesions, corticosteroid usage, clinical status, and requirement for proper responses.<sup>5</sup> However, even with all these guidelines, it is still difficult to differentiate radio necrosis from tumor recurrence radio logically.

It has been long documented that pseudo progression after concomitant radio-chemotherapy is possible in patients undergoing brain cancer treatment. In 1979, Hoffman et al.,<sup>6</sup> described a subset of patients treated with radio therapy and concurrent chemotherapeutics

for brain cancer. After 8 weeks, 49% of patients had deterioration of condition that was strongly suggestive of worsening disease however, 28% of these cases improved without change in chemotherapy.<sup>6</sup> Rubin et al.,<sup>7</sup> suggests that any radio-chemotherapy and previous irradiation can increase risk of radio necrosis.<sup>7</sup> It has been further documented that the addition of chemotherapy to radiation therapy can have a cumulative effect on the development of radio necrosis with a direct relationship to radiation dose, treatment duration, and irritated brain volume.<sup>7,8</sup>

Pseudo progression can appear for several weeks or months following the treatment and then can spontaneously disappear without change in treatment. This could be due to a transient interruption of myelin synthesis as a secondary injury of oligodendrocytes.<sup>9</sup> Radio necrosis is a more severe effect and may appear several months following concomitant treatment and be accompanied with mass effect and neurological dysfunction.<sup>3</sup> It is believed that this radiation injury is due to the fact that oligodendrocytes, epithelial cells, and neuronal precursors are sensitive to radiation. Cells of the CNS will then go through apoptotic mediated cell death after injury mediated largely by the amount of radiation damage received to the area.<sup>10,11</sup> Vascular damage is also present in radio damaged CNS and can initiate necrosis.<sup>12</sup> VEGF leads to small vessel permeability with cerebral edema and recent data has shown that the degree of VEGF was found to be associated with radiation necrosis.<sup>13</sup> Two recent studies have also shown that radiation can damage the BBB when tumor necrosis factor-alpha (TNF- $\alpha$ ) produces disruption and that anti-TNF- $\alpha$  treatment can reduce damage in irradiated mice.<sup>9,14</sup> It is possible that after combination treatment of chemotherapy and radiation, the BBB remains permeable to allow gadolinium through to falsely report a disease that is larger than it actually is and that radiation damaged injured the cells of the CNS drastically enough to induce apoptosis and cell death after treatment. In this case, the pseudo-progression occurred 21 months after the last radiation therapy exposure so was likely due to the treatment with tDM-1 which from our review of the literature has not been previously reported.

## Conclusion

As adjuvant therapy of chemotherapy and radiation therapy becomes a standard practice, it is imperative to properly interpret data to be able to distinguish the difference between tumor progression and radio necrosis. Pathophysiology, molecular changes, and discerning differences between the two diagnoses are poorly understood. Current imaging technologies do not always allow us to easily distinguish between the two classifications; however, a combination of different imaging techniques could allow us to more accurately diagnosis the condition. In addition to MRI, other imaging options like MRS, DCE-MRI, PET, proton MRI, 2D proton spectroscopic imaging, and perfusion could be utilized to confirm diagnosis.<sup>15</sup> It is important to not halt adjuvant therapy without a convincing diagnosis of progressive tumor confirmed by this secondary, more specific imaging method. Halting therapy can be disadvantageous to overall survival advantage. Additional guidelines for discerning between tumor recurrence and radio necrosis could also be proposed as well as therapeutic management strategies such as steroid therapy, hyperbaric oxygen, and Bevacizumab treatment against VEGF could also be investigated<sup>16</sup> It should also be noted that the increased BBB permeability seen in this method of treatment could be used as an advantage by increasing chemotherapy update to the metastatic sites that would not normally be accessible due to the BBB. We recommend that extreme care in treatment decisions when examining a patient that resembles this

case study and propose mechanism by which the diagnosis could be improved and further used towards our advantage in metastatic breast cancer treatment.

## Acknowledgments

None.

## Conflicts of interest

Authors declare there are no conflicts of interest.

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