

Coalescent history of nuclear introgression between gadwall and falcated duck fails to explain among-locus heterogeneity in genetic diversity

Abstract

Heterogeneity in genetic diversity and differentiation is a reflection of various past demographic events and evolutionary forces (Gene flow, divergence, Bottlenecks, mutations, selection etc.) that may have shaped the existing DNA polymorphisms in the genetic data. The gadwall (*Anas strepera*) is a waterfowl species that has a holarctic distribution across North America (New World) and Eurasia (Old World), while the Asian Falcated duck (*A. falcata*) has a restricted distribution across Eastern Asia, where the two species share their breeding ranges. Previous studies revealed a strong structure between the Old World and New World gadwall populations and a high among locus heterogeneity in the genetic diversity. In this study, we assessed the rates of introgression between the falcated duck and gadwall populations under three coalescent models of introgression using a genomic transect of non-coding DNA. We sampled nineteen nuclear introns that mapped to nineteen different chromosomes and evaluated all three population isolation-with migration models of coalescent history between the gadwalls and falcated ducks. The results revealed introgression from falcated duck population into NW gadwall population, but not into OW gadwall population or from any gadwall population into Asian falcated duck population. The nucleotide diversity in the falcated duck varied over 100-fold among the nineteen loci, however the genetic differentiation varied more than 20 fold among the sequenced loci. Using this comprehensive dataset, we conclude that hybridization alone is insufficient to explain the observed among-locus heterogeneity in genetic diversity in the non-coding DNA of both waterfowl species suggesting a prominent role of selection.

Keywords: hybridization, gadwalls, introgression, genetic diversity, falcated ducks

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Introduction

Genetic diversity quantifies the neutral and non-neutral variation in a population that the interactions between the demographic and evolutionary forces (population size changes, subdivision, mutations, immigration and selection) generate. Empirical evidence suggests the diversity has a positive correlation to population size. Loss in diversity results in the bottlenecks and rare allele generation retains the diversity in population expansion. Mostly, diversity is small in the island population relative to the mainland population.¹ Similarly, loss in variation is evident in the endangered species. However, this positive correlation between diversity and population size is not justified at all the markers. In nuclear DNA, population size reflects a positive relationship with diversity, but the relationship is ambiguous at mtDNA implying deviations from neutral theory and the possible role of selective sweeps, recombination, mutation rate and linkage disequilibrium.¹⁻³

Levels of genetic diversity and differentiation can vary extensively across the genomes of a population or species.⁴⁻¹¹ Some level of heterogeneity is expected from the stochasticity of mutation, genetic drift, non-random mating and gene flow, under models of neutral population history.¹²⁻¹⁴ However, given increasing evidence of non-neutrality of loci across the genome, the contribution of selection to this heterogeneity cannot be ignored.^{1,15,16} In the absence of gene flow, positive selection, for example, can cause selectively advantageous alleles arising via mutations to spread throughout a population,

resulting in low genetic variation and low differentiation at loci under selection, and closely linked loci.¹⁷ Likewise, strong negative selection eliminates deleterious polymorphisms from linked sites within a genome in a population.¹⁸ Both types of selection can cause an excess of rare polymorphisms, which can mimic the signature of a population expansion.¹⁹ On the other hand, balancing selection maintains high levels of genetic diversity at some loci and prevents population divergence.^{18,20} Balancing selection can result in a paucity of rare polymorphisms, mimicking a population bottleneck. Hence, disentangling the roles of various evolutionary forces to among-locus heterogeneity can be difficult as selection can mimic the effects of population history.

Although distinguishing between the genomic influence of population history and selection is difficult, both must be considered to understand the genomic effects of the other. Hybridization and introgression can modify the diversity and divergence at a locus by causing new alleles to enter a species gene pool.²¹⁻²⁴ However, variability in the interaction between gene flow and selection across the genome can drive heterogeneous patterns of divergence and diversity. Positive selection may favour introgression of alleles and allow for homogenization of genomes at some loci,^{25,26} but divergent selection may prevent gene flow at loci that maintain species integrity causing an increase in genetic differentiation at those loci.²⁷⁻³¹ Likewise, a locus that has high gene flow under the influence of positive selection is likely to have low diversity (gene swamping) when the mutational force is not effective.³² Thus, genetic variation and divergence of

various loci could be subject to variable selective pressures that filters introgression of alleles at some loci but not at others.^{18,33–35} The objective of this study is to examine the role of demography and gene flow on genomic heterogeneity observed in gadwall populations.

Study taxa

The gadwall (*Anas strepera*) is a species of duck distributed across Eurasia (Old World, OW) and North America (New World, NW; Figure 1). The sequence data from twenty-two non-coding loci in the gadwall (*Anas strepera*) revealed large among-locus heterogeneity in genetic diversity that varied by two orders of magnitude.¹⁰ OW and NW populations are characterized by haplotype frequency differences in mitochondrial (mt) DNA and nuclear (nu) DNA that likely resulted from a founder effect (colonization of NW from OW) and restricted gene flow.^{10,36} Intragenomically, the observed among-locus heterogeneity failed to fit this model of population history. However, neither selection nor interspecific hybridization could be rejected as plausible explanations.

The gadwall hybridizes with its closest extant relative, the falcated duck (*Anas falcata*), in the wild.³⁷ Unlike the gadwall, the falcated duck has a restricted distribution in eastern Asia, where its breeding range overlaps with the gadwall (Figure 1). Molecular data revealed introgression of falcated duck alleles into the gadwalls. Falcated duck shared one haplotype each at mtDNA and one of the two nuclear loci sequenced with sympatric OW gadwalls.³⁸ In addition, 5.5% of North American gadwalls had mtDNA that was more similar to falcated ducks than to other gadwalls, although there was no evidence of nuclear introgression.^{38,39} Because this assessment of introgression was based only on the two nuclear loci with one marker linked to the Z-chromosome that may be less susceptible to introgression,^{5,40} a multi-locus assessment is necessary to examine the extent of nuclear introgression to better examine its role in among-locus heterogeneity.

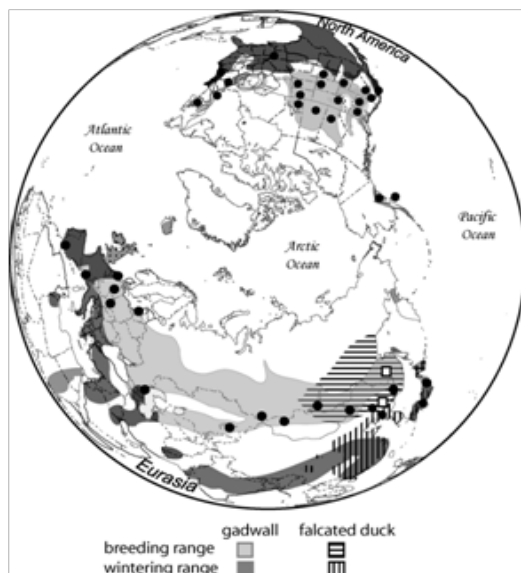


Figure 1 Geographic distribution of falcated ducks in eastern Asia and gadwalls across Europe, Asia, and North America. Black dots and open squares represent sampling locations of gadwalls and falcated ducks, respectively. Modified from Peters et al.,³⁰ and Sampling details are available in Peters et al.¹⁰

The main objectives of this study were:

- i) To examine genetic diversity sampled from genomic transect in falcated ducks and compare it to the among-locus heterogeneity observed in gadwall;
- ii) To estimate rates of introgression between species in sympatry and allopatry; and
- iii) To examine the role of introgression in among-locus heterogeneity in genetic diversity and differentiation. This multi-locus comparison of polymorphic data between falcated ducks and the gadwall populations will facilitate disentangling the roles of introgression and selection in generating among-locus heterogeneity in these taxa.

Materials and methods

We sequenced a genomic transect for 24 falcated ducks (Figure 1), which included nineteen non-coding regions of nuclear DNA that map to different chromosomes in the chicken (*Gallus gallus*) genome using previously published primers (60; Table 1). Homologous data for 25 NW and 25 OW gadwalls were obtained from Peters et al.¹⁰ Each locus was amplified using PCR and cleaned using AMPure XP beads following the Agencourt protocol (Beckman Coulter Co., Brea, CA). We sequenced PCR products using the BigDye v. 3.1 Terminator Cycle Sequencing Kit following manufacturer protocols (Applied Biosystems, Foster City, CA). Automated sequencing was performed on an ABI 3730 at the DNA Sequencing Facility on Science Hill, Yale University, CT. We edited the falcated duck sequences and aligned them with gadwall sequences using Sequencher 4.1 (Gene Codes, Ann Arbor, MI). We determined the gametic phases of sequences that were heterozygous at more than one nucleotide position using the software PHASE 2.1.^{41,42} The data will be archived in GenBank and DRYAD (*Accession number pending*).

Genetic diversity and demography

We quantified genetic variation within the populations and differentiation among populations in terms of the nucleotide diversity (π , the average number of nucleotide differences per site between two randomly selected individuals from a population), pairwise $\hat{O}ST$ (the proportion of genetic diversity attributable to differences among populations), and Tajima's D (a measure of the relative frequency of rare polymorphisms to common polymorphisms) in Arlequin v3.11.⁴³ We used linear regression to compare π between falcated ducks and gadwalls. A paired t-test was used to compare $\hat{O}ST$ between falcated ducks and gadwalls with $\hat{O}ST$ between the two gadwall populations with locus being the paired variable. We constructed haplotype networks using the median-joining algorithm in NETWORK ver. 4.1.⁴⁴

To infer aspects of the population histories of falcated ducks and OW and NW gadwalls, we applied the MCMC Bayesian approach in a three-population isolation with migration model in the coalescent program IMa2.^{41,42,45,46} Demographic history was estimated under three possible scenarios of migration: a full migration model with both ancestral and ongoing gene flow, a model of recent secondary contact that assumes no gene flow between ancestral populations, and a model of ancestral migration that assumes no ongoing migration. The estimated parameters included time since divergence (t_0 and t_1 , the divergence times between OW and NW gadwalls and between falcated ducks and gadwalls, respectively, where $t = T\mu$; T is the time

since divergence in years and μ is the geometric mean of mutation rates per locus among all loci), the effective population sizes of the ancestral populations (θ_{A0} at t_0 and θ_{A1} at t_1 , where $\theta_A = 4N_{eA}\mu$ and N_{eA} is the ancestral effective population size), and the effective population size of each daughter population (θ_j , θ_{on} , and θ_{nw} for falcated ducks, OW gadwalls and NW gadwalls, respectively). The full migration model included eight migration parameters (M_{ij}): two parameters (bidirectional migration) between pair of populations and between the falcated duck and the ancestral gadwall population (where $M_{ij} = m_i/\mu$ and m_i is the rate at which alleles enter population j from population i forwards in time). The model of secondary contact only included migration between extant populations (six migration parameters), whereas the ancestral migration model only included migration between the two gadwall populations and between the falcated duck and the ancestral gadwall population (four parameters). We converted migration rates into the number of effective migrants per generation

as $\theta m_{ij}/2$. Because IMA2 assumes no recombination within loci, We chose the block of nucleotides consistent with no recombination that contained the maximum number of variable sites for each locus in IMgc.⁴⁷ We iteratively adjusted the chromosomal weighting so that a maximum of 5% of chromosomal copies were removed from the analysis. IMgc was only used as a guide for truncating sequences, and we retained all sites that contained three or four nucleotides. Inheritance scalars were defined to respect different modes of inheritance (0.75 for CHD1Z & 1.0 for autosomal loci). An HKY model of molecular evolution was used for X loci, and an infinite sites model was used for the remaining loci. We ran IMA2 on the recombination-filtered, nineteen-locus data set for 2x107 steps following a burn-in of one million steps using thirty markov chains (one hot and 29 cold chains). We replicated the analysis three times with different random number seeds to check for convergence.

Table 1 Characteristics of the nineteen non-coding loci sequenced in the gadwalls and falcated ducks. Chromosome location within the chicken genome and the zebra finch genome, respectively. Peters et al.¹⁰

Locus	Abbreviations	Location	Introns #	Length (BP)
Chromo-helicase-DNA binding protein gene 1	CHD1Z	Z/Z	19	272
Lactate dehydrogenase I	LDHB	1/1A	3	470
S-acyl fatty acid synthase thioesterase	FAST	2/2	2	305
Ornithine decarboxylase	ODC1	3/3	5	276
Fibrinogen beta chain	FGB	4/4	7	350
Serum amyloid A	SAA	5/5	2	311
Annexin A11	ANXA11	6/6	5	191
Myostatin	MSTN	7/7	2	238
Sterol O-acyltransferase	SOAT1	8/?	12	346
Nucleolin	NCL	9/9	12	262
Lecithin-cholesterol acyltransferase	LCAT	?/11	2	200
Preproghrelin	GHRL	12/?	3	332
Glutamate receptor, ionotropic, N-methyl D aspartate 1	GRIN1	17/17	11	256
Carboxypeptidase D	CPD	19/19	9	161
Phosphoenolpyruvate carboxykinase	PCK1	20/20	9	169
Alpha enolase 1	ENO1	21/21	8	175
Alpha-B crystallin	CRYAB	24/24	1	276
Growth hormone 1	GHI	27/?	3	363
Splicing factor 3A subunit 2	Sf3A2	28/?	8	268

We also used the MCMC Bayesian method in the coalescent program LAMARC v2.1.6 (42) to jointly estimate recombination rates (r , where, $r = C/\mu$ C is the rate of recombination per inter-site link per generation, and μ is the mutation rate per site) for each locus in falcated duck. We jointly estimated Θ (where $N_e = 1V_e$, and N_e is the effective population size) and the exponential growth rate (g , where $\Theta_t = \Theta_0 \exp^{-gt}$, and Θ_0 is an index of the current Θ_t and Θ_t is an index of N_e at time t). We used the Felsenstein006E 84 model of substitution (ti:tv=2.5; the average ratio among loci) and ran the program for a burn-in of 2,000,000 generations, sampling every 1,000 generations for a total of 20,000 samples. To verify the

consistency of the estimates, we replicated the run with a different random number seed.

Coalescent simulations

We simulated genetic diversity and differentiation in each population to assess the role of introgression in the among-locus heterogeneity in genetic diversity under the assumptions of neutrality. For these simulations, We followed the protocol described by Peters et al.,¹⁰ which incorporated the demographic parameters estimated from isolation-with-migration models, recombination rates from the LAMARC analyses, and evolutionary substitution rates estimated

from a comparison of eight deeply divergent anseriform taxa (obtained from Peters et al.¹²). We simulated genetic diversity and differentiation under each of the three migration models: full migration, secondary contact, and ancestral migration. For each parameter, we randomly sampled 1000 values from their respective posterior distributions so that uncertainty in these values was incorporated in the simulations.

Simulations were conducted under an assumption of neutral population history in the program MS.⁴⁸ All parameters were scaled to θ_f , and the parameters for CHD1z were scaled by a factor of 0.75 to reflect the difference in effective population size resulting from linkage to the sex-chromosome Z. Polymorphism data were simulated 1,000 times for each locus (each replicate had a slightly different population history as described above) under each of the three models (19,000 simulations per model). From each simulated data set, We calculated π , Φ_{ST} , and Tajima's D using the program MS output.¹⁰

Goodness-of-fit test

We performed a goodness-of-fit test to test to examine of the empirical data to the models of population history (7, 60). For the population level goodness-of-fit tests, we compared empirical values of mean π and Φ_{ST} and their associated coefficients of variation (CV) with the expected values for a 19-locus dataset obtained from the simulated data (1,000 values per model). We also compared locus-

specific values of each parameter to determine whether any loci were consistent outliers from model expectations. We rejected the null hypothesis of no difference between expected and empirical values if the empirical values were outside the 95% CI of expected values.

Results

Genetic variation and population structure

Sequence data from 19 non-coding loci revealed that heterogeneity in nucleotide diversity (π) for the falcated duck was similar to that observed in NW and OW gadwall populations (Table 2). Overall, π in falcated duck (mean $\pi=0.0097$, range =0.0002–0.0251) was similar to values observed in both OW (mean $\pi=0.0091$, range=0.0001–0.0231) and NW (mean $\pi=0.0090$, range=0.0001–0.0243) gadwalls. Indeed, nucleotide diversity among the 19 loci in falcated ducks was significantly correlated to that in OW gadwalls ($R^2=0.88$, $df=18$, $P=3 \times 10^{-9}$) and NW gadwalls ($R^2=0.80$, $df=18$, $P=2 \times 10^{-7}$). Average Tajima's D was negative for each of the three populations ($DFD=-0.52+0.97$ StDev; $DOW=-0.44+0.79$ StDev; $DNW=-0.11+0.74$ StDev) and was significantly negative for four loci in falcated ducks (CRYAB, FAST, LDHB GRIN1) and OW gadwalls (Sf3A2, ENO1, FAST, GRIN1) and for two loci in NW gadwalls (Sf3A2, GRIN1) (Table 2).

Table 2 Locus specific estimates of nucleotide diversity (π), genetic differentiation (Φ_{ST}) and Tajima's D in each population of falcated duck (FD), old world gadwalls (OW), and new world gadwalls (NW)

Locus	π			Φ_{ST}			Tajimas's D		
	$\pi_{(FD)}$	$\pi_{(OW)}$	$\pi_{(NW)}$	$\Phi_{ST(FD-OW)}$	$\Phi_{ST(FD-OW)}$	$\Phi_{ST(OW-NW)}$	D_{FD}	D_{OW}	D_{NW}
GHRL	0.0251	0.0223	0.0194	0.195	0.251	0.184	0.905	0.028	0.338
LCAT	0.0242	0.0239	0.0243	0.098	0.094	0.022	-0.052	1.052	0.64
MSTN	0.0241	0.0222	0.019	0.049	0.095	0.027	0.066	0.254	-0.375
ODC1	0.0232	0.0142	0.0129	0.135	0.109	0.009	1.147	-1.152	-0.001
NCL	0.018	0.0204	0.0198	0.067	0.112	0.033	-0.546	-0.099	1.019
CPD	0.0148	0.0187	0.0226	0.057	0.18	0.049	-0.462	0.577	1.072
SAA	0.0142	0.0179	0.015	0.227	0.156	0.057	0.79	-0.266	-0.004
SOAT1	0.0085	0.0072	0.0072	0.141	0.14	-0.014	-0.056	0.337	1.095
FAST	0.0063	0.0028	0.0025	0.372	0.31	0.03	-1.592*	-1.599*	-0.723
ANXA11	0.0047	0.0034	0.0051	0.389	0.194	0.152	-1.355	-0.056	-0.277
ENO1	0.0045	0.0041	0.0068	0.035	0.116	0.088	-1.386	-1.776*	-0.28
CHD1Z	0.0045	0.0022	0.0006	0.647	0.719	0.038	-1.274	-1.101	-0.238
GRIN1	0.0032	0.0001	0.0007	0.039	0.032	0.013	-1.868*	-1.102*	-1.459*
GHI	0.002	0.0022	0.0014	0.617	0.702	0.005	-0.33	-0.19	-0.469
FGB	0.0021	0.0073	0.008	0.148	0.193	0.036	0.059	-0.333	-0.282
PCK1	0.0015	0.0026	0.0023	0.172	0.124	-0.009	-1.412	0.019	-0.224
Sf3A2	0.0012	0.0007	0.0001	0.079	0.162	0.081	0.623	-1.764*	-1.102*
CRYAB	0.0007	0.001	0.0019	0.917	0.861	0.147	-1.764*	-0.642	0.362
LDHB	0.0002	0.0002	0.0011	0.965	0.901	0.142	-1.482*	-0.65	-1.267

Population pairwise comparisons indicated that falcated duck is significantly differentiated from both gadwall populations (mean Φ_{ST} (OW-FD)=0.281, range=0.035–0.965; mean Φ_{ST} (NW-FD)=0.286, range=0.032–0.901; Table 2). Differentiation was significantly lower between OW and NW gadwalls (Φ_{ST} (OW-NW)=0.057, range=–0.014–0.184; $t=1.73$, $df=18$, $P<0.005$). The haplotype networks revealed that many polymorphisms were shared between falcated ducks and gadwalls at most of nuclear loci; only CRYAB, LDHB and CHD1Z were consistent with reciprocal monophyly between the species (Figure 1). On the other hand, NW and OW gadwalls shared alleles at all loci.

Demographic history, migration, and divergence

The three-population model with all migration parameters in Ima2 showed a finite posterior distribution for most of the demographic parameters (Figure 3), (Table S1). In this model, θ_{FD} was the largest among all θ parameters ($\theta_f = 2.1$, 95% HPD= 1.6–2.9). There was no overlap in the 95% HPD between θ_f and θ_{nw} , which had the smallest population size ($\theta_{nw} = 0.61$, 95% HPD=0.26–0.93). On the other hand, θ_{ow} was intermediate ($\theta_{ow} = 1.10$, 95% HPD=0.63–2.06) with 95% HPDs that overlapped both θ_f and θ_{nw} . The ancestral population of gadwalls (θ_{A0}) was smaller than either NW or OW gadwall population ($\theta_{A0} = 0.35$, 95% HPD= 0.01–4.6) whereas the ancestral population of gadwall and falcated duck ($t_1 = 0.66$, 95% HPD= 0.14–1.40) was similar to that of NW gadwalls but smaller than OW gadwall and falcated duck. Thus, the model suggested population expansions for all three populations following divergence. Similar values of θ were obtained from both the secondary-contact and the ancestral migration models (Table 1) and (Figure 2). However, analyses of population size changes in falcated ducks obtained from LAMARC were consistent with a stable population size ($g=-0.98$, 95% CI=–8.8–37.2).

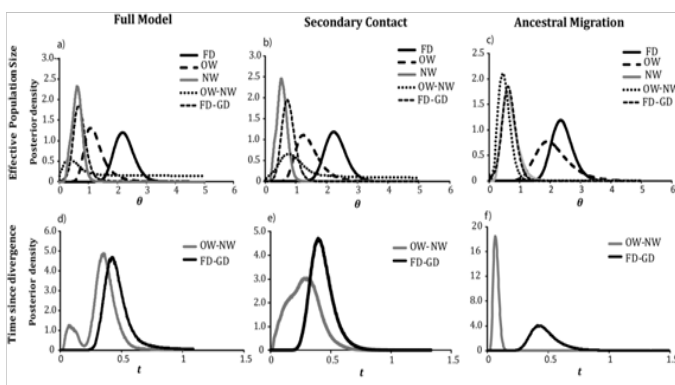


Figure 2 Posterior distributions of demographic parameters estimated in Ima2 (scaled to the neutral mutation rate) estimated under three migration models: full migration (a,d), secondary contact (b,e), and ancestral migration (c,f); a,b,c) effective population sizes of the falcated duck, OW gadwall, NW gadwall and ancestral populations; def) Time since divergence between the falcated duck and gadwall and between OW and NW gadwalls.

The estimates of time since divergence between the falcated duck and ancestral gadwall and the two gadwall populations peaked at different points in the full model (Figure 2), (Table S1). The model supported a deep divergence between the gadwall and falcated duck ($t_1=0.42$, 95% HPD=0.25–1.6), but only a slightly more recent divergence between OW and NW gadwall ($t_0=0.35$, 95% HPD=0.03–0.55). However, the posterior distribution of divergence

time between OW and NW gadwalls was bimodal with a minor peak that was substantially more recent. In the secondary-contact model, t_0 was similar to the full model, but t_0 showed a broad posterior distribution that encompassed both peaks from the full model (Figure 2b), (Table 1). In the ancestral migration model, t_1 was similar to the previous two models, whereas t_0 was more recent and consistent with the minor peak in the full model ($t_0 = 0.06$, HPD 95%=0.02–0.11). Unlike the previous models, there was no overlap in the two divergence time estimates (Figure 2), (Table 1), and t_0 was similar to the estimate obtained from the two-population model examined in Peters et al.¹⁰

In the full migration model, the rates of introgression from OW and NW gadwall into the falcated duck (forward in time) peaked at the lowest value of <0.001 (95% HPD=0–0.44 and 0–0.345, respectively; Table 1, Figure 3). Thus, the model was consistent with little to no introgression from gadwalls into falcated ducks. Similarly, the introgression rate from falcated ducks into OW gadwalls peaked near zero (MFD→OW <0.001, 95% HPD=0–0.411). However, gene flow from falcated ducks into NW gadwalls was low, but non-zero (2NmFD→NW=0.26 migrants per generation, 95% HPD=0.02–0.50). Likewise, the estimates of gene flow between the ancestral populations in the full model suggested asymmetrical gene flow with higher migration rates from the falcated duck into the ancestral gadwall population, although confidence intervals were large and we could not reject the possibility of no gene flow (2NmFD→A0=0.56 migrants per generation, 95% HPD=0–78.2; 2NmA0→FD=0.051 migrants per generation, 95% HPD=0–54.0). The model also supported asymmetrical gene flow between the two gadwall populations with higher gene flow into the OW population (2NmNW→OW=2.2 migrants per generation, 95% HPD=0–20.2; 2NmOW→NW=1.04, 95% HPD=0–7.07); however the posterior distribution of 2NmNW→OW was bimodal and the minor peak was consistent with no gene flow. These estimates of migration rates were similar in the model of recent secondary contact (no ancestral gene flow), suggesting gene flow into NW gadwalls from falcated ducks and little to no gene flow between the remaining interspecific pairs (Figures 3d–3f), (Table 1). Moreover, the posterior distribution of 2NmNW→OW was bimodal, but the minor peak was much smaller than in the full model. In contrast, all the estimates of migration rates had unimodal distributions in the ancestral migration model (Figure 3g), (Table 1). There was clear evidence of gene flow from the falcated duck into the ancestral gadwall population (2NmFD→A1=0.78, HPD 95%=0.41–1.36), but the posterior distribution was most consistent with zero gene flow in the opposite direction (2NmA1→FD= 0.027, HPD 95%=0–0.96). Similarly, the model estimated asymmetrical gene flow between the gadwall populations with greater introgression from the OW into the NW gadwall population (2NmOW→NW=4.53, 95% HPD=0.96–7.81; 2NmNW→OW=1.92, 95% HPD 95%=0–10.3), which was the reverse direction compared to the full migration model and the secondary-contact model, but consistent with the 2-population model examined in Peters et al.¹⁰

Simulated models of population history

To test the role of introgression in among-locus heterogeneity, we simulated DNA sequences using the parameters estimated from the three models of demographic history and selective neutrality. Simulations under all the three models under-predicted mean π within each population (Figure 4a) and mean \hat{O}_{ST} between the falcated duck

and each gadwall population (Figure 4b). Furthermore, empirical values of π and $\hat{O}ST$ were within the 95% confidence intervals of the simulated values under the full migration model only. Mean π was significantly higher than expected for all three populations under the secondary-contact and ancestral-migration models, and Φ_{ST} between gadwalls and falcated duck was significantly greater than expected under secondary contact. The observed among-locus heterogeneity (coefficients of variation) in the data was significantly higher than the values simulated under all three migration models for all parameters, except $\hat{O}ST$ between OW and NW gadwalls (Figure 4).

Locus-specific goodness-of-fit tests revealed that 13 of the 19 loci had significantly greater (GHRL, MSTN, LCAT, ODC1 NCL,

SAA and CPD) or lower diversity (CRYAB, SF3A2, FGB, LDH1, GRIN, CHD1z) than expected for at least one population (Figure 5). Nucleotide diversity for four loci (GHRL, LCAT, LDHB and MSTN) consistently differed from expectations in both taxa and under all three migration models (Fig. 5). Similarly, locus-specific tests for $\hat{O}ST$ between falcated duck and gadwall revealed four loci (CRYAB, CHD1z, GH1 and LDHB) in which the empirical levels of differentiation deviated significantly from the simulated values (Figure 6). At all four loci, the empirical values of $\hat{O}ST$ were greater than expected for both population pairs. However, empirical values of $\hat{O}ST$ between the two gadwall populations were consistently within the simulated values for all 19 loci.

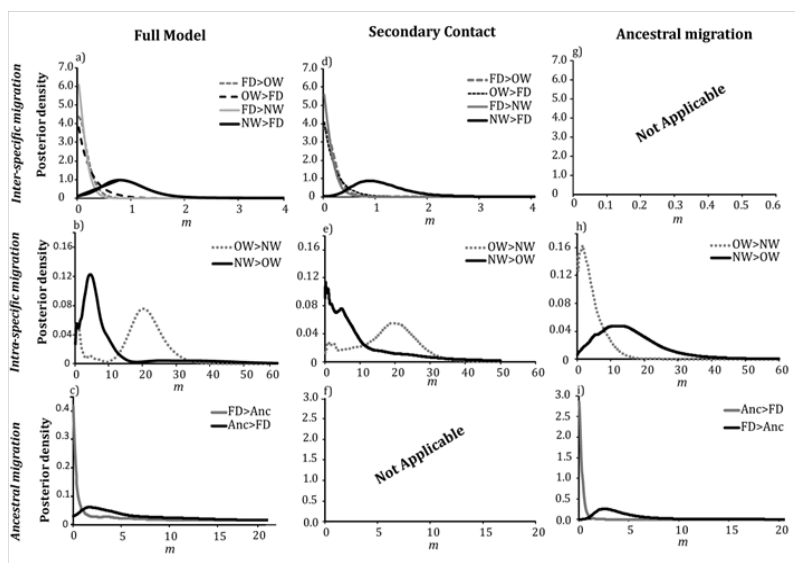


Figure 3 Posterior distributions of migration rates estimated in IMa2 in three migration models: full migration, secondary contact, and ancestral migration; a,d,g) interspecific migration rates between falcated duck and gadwall populations; b,e,h) migration estimates between the OW and NW gadwall populations; c,f,i) migration estimates between the falcated duck and ancestral population.

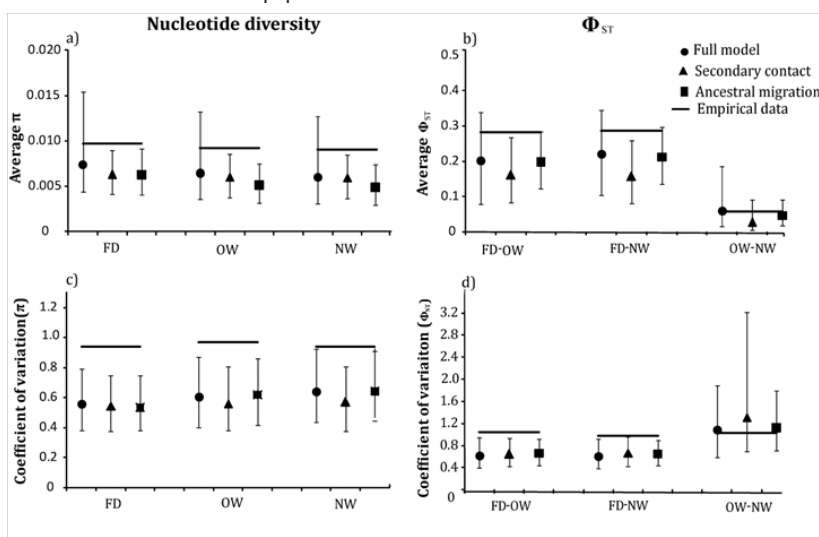


Figure 4 Empirical and simulated values of a) mean nucleotide diversity for the nineteen locus data for each population b) Φ_{ST} between each population pair c) coefficients of variation for nucleotide diversity in falcated ducks, OW gadwall, and NW gadwall, and d) Φ_{ST} between each population pair under three migration models. Black circles, triangles and squares represent the simulated values for the full migration model, secondary contact, and ancestral migration model, respectively; the horizontal bars show the empirical values.

Discussion

Among-locus heterogeneity in genetic diversity is generated in interplay among various genomic and demographic forces that randomly add and remove variation in the genome. Peters et al.,¹⁰ proposed introgression or selection as likely hypotheses that can explain the observed heterogeneity in the gadwall populations in the North America and Eurasia. In this study, we evaluated three three-population isolation-with-migration models of coalescent history between the gadwalls and falcated duck (ancestral migration, secondary contact and full migration) with nineteen nuclear introns that mapped to nineteen different chromosomes. In all three demographic scenarios of introgression between the falcated duck and two gadwall populations, there was an evidence of gene flow in allopatry from falcated duck into north American gadwalls but not in sympatry into the Eurasian gadwalls. There was no evidence of any gene flow from any of the gadwall population into the falcated duck population. Simulating diversity under neutral demographic history of gene flow, four loci consistently deviated from the neutral expectations in both gadwall populations and falcated duck in all three isolation-migration models. Furthermore, these results revealed lower-than-expected nucleotide diversity for LDHB and higher-than-expected differentiation at both LDHB and CHD1Z, which combined with regular selective sweeps in mtDNA,^{49–52} likely mislead the results in Peters et al.¹⁰ As observed in the gadwall, nucleotide diversity in the falcated duck varied over 100-fold among the 19 loci, yet we found no evidence of DNA introgression from gadwall into falcated duck. Furthermore, differentiation between the falcated duck and the gadwall varied more than 20-fold among the sequenced loci, which was greater than expected under the inferred neutral models. Therefore, we reject introgression alone as the cause of observed among-locus heterogeneity in both gadwall populations and the falcated duck and propose influence of selection, rather than hybridization, as a better explanation for the among-locus heterogeneity observed in both taxa.

Deviations from the models

Coalescent analyses of nuDNA supported introgression either from falcated ducks into allopatric NW gadwalls or from falcated ducks into the ancestral gadwall population but not into sympatric OW gadwalls. Previous mtDNA analysis also revealed this direction of gene flow and evidence for ancient introgression into NW gadwalls, although ongoing gene flow could not be rejected in sympatry.³⁸ In this sense, analyses of mtDNA and nuDNA provide concordant results suggesting that NW gadwalls harbor a significant proportion of falcated duck DNA within their gene pool. Whatever the true scenario might be (ancient or ongoing gene flow), introgression fails to account for the among-locus heterogeneity in genetic diversity in these taxa.

First, the goodness-of-fit tests revealed a poor fit between empirical data and the neutral models of demographic history under all three migration scenarios. Specifically, the empirical coefficients of variation for diversity and interspecific differentiation failed to fit within the expected simulated values under all three models (Figure 4). Similarly, several loci had values of genetic diversity and differentiation that deviated significantly from the expected values under all three neutral models (Figures 5), (Figure 6). The stochasticity of mutation and drift is unlikely to explain this high heterogeneity as the tested models incorporated the variance in these evolutionary forces. Locus-specific mutation rates estimated from independent

data and uncertainty in coalescent estimates of population-level parameters were also incorporated. Given the amount of noise included in the simulated models, the deviations from the expected patterns are particularly striking.

Secondly, genetic variation in nuDNA is expected to reflect the species abundance and distributions,^{2,3,53} and therefore, we expected the smaller population of falcated duck (estimated census size of 90,000 individuals⁵⁴ to have lower diversity than the more abundant Holarctic gadwall (>3,000,000 individuals; 17). However, despite the thirty-times smaller population size of falcated duck relative to gadwall, the two species had similar genetic diversity, and the falcated duck had the largest effective population size in all three coalescent models that we examined. A large historical population size in the falcated duck followed by a recent population decline could be one possible explanation for the observed deviation. However, analyses of population size changes were consistent with falcated ducks having had a stable population size, and there was no evidence of a major population decline. Alternatively, a much smaller ancestral population size for gadwalls followed by a population expansion could explain this deviation, which is supported by coalescent analyses.^{10,36} Regardless, this deviation from expectations questions the relative roles of genetic drift, introgression, and selection. The large effective population size in falcated duck relative to gadwall, the lack of evidence of gene flow into falcated duck from any of the gadwall populations, and a lack of evidence suggesting a major population decline in falcated duck, suggest that selection might be playing an important role.

Locus-specific deviations

The available evidence suggests that selection is a strong candidate for the cause of at least some of the among-locus heterogeneity in these taxa. We propose two markers (CRYAB and LDHB) as candidate loci under strong positive selection for their exceptional patterns. Both loci have lower genetic diversity than predicted for both gadwall populations and for falcated ducks. CRYAB and LDHB are also more strongly differentiated between falcated ducks and gadwalls than predicted and among the most differentiated loci between the two gadwall populations. These loci were also more differentiated, relative to other loci, between populations of other species of ducks (CRYAB and LDHB in green-wing teal *Anas crecca* and CRYAB in common merganser *Mergus merganser*^{55,56}).

LDHB, the locus with the lowest diversity and the highest divergence, appeared consistently as an outlier in sixteen of eighteen sets of simulated data under all three models of population history. All three models over-predicted the diversity for this locus in all three populations and under-predicted the divergence between falcated ducks and gadwalls. LDHB was the only locus among the nineteen loci that never conformed to neutral expectations. Also, the near star-like pattern of the network topology and a significantly negative Tajima's D are consistent with positive selection that may have increased the levels of genetic differentiation among populations.^{51,58} Functionally, the gene is expressed both in the heart of ducks and in the eyes as lens structural proteins (ϵ -crystalline; 28), and there is evidence of adaptive evolutionary changes occurring in the sequence of LDHB.^{58,59} For example, the presence or absence of repressor elements in the regulatory sequence of LDHB is responsible for the adaptive difference in LDHB transcription between northern and southern populations of *Fundulus heteroclitus*.⁶⁰ The high divergence

of the locus and its association with adaptive evolution in other species supports the proposed hypothesis of non-neutrality at this locus.

CRYAB, also a low diversity locus with high differentiation, also failed to fit with the expected values under neutrality in several tests. A significant excess of rare polymorphisms and a star like pattern in the haplotype network topology support the possibility of positive selection/selective sweep at this locus. The evolutionary trajectory of this gene, which codes for eye-lens crystallins, varied slightly between mammalian and avian taxa. In contrast to the high conservation of the gene among mammals, only a few blocks of the gene are conserved in birds. For example, the duck CRYAB homologues have lost the heat shock response seen in mammalian homologues.⁶¹ This partial conservation of gene elements in ducks and the variability in heat-shock response suggest taxon-specific patterns of expression and perhaps divergent selection. It is intriguing that both CRYAB and LDHB are expressed in eye-lens crystallins and both deviate from neutral expectations.

Genetic hitchhiking can influence nucleotide diversity of non-coding loci and potentially maintain the high diversity of non-coding regions (several times that of neutral loci), when these regions are in linkage disequilibrium with a coding region under balancing selection.^{45,62,63} Alternatively, hitchhiking can cause reduced variation in non-coding DNA linked to loci subjected to selective sweeps.^{62,64} Thus, elevated or reduced diversity in non-coding regions might not necessarily be due to selection acting directly on components of the introns, but rather a result of strong linkage to coding regions that are the targets of selection. Hitchhiking depends upon the recombination rate and the distance from the target of selection.¹⁷ However, hitchhiking could be prominent in the non-coding loci with lower recombination rates. The major outlier loci, CRYAB and LDHB, in falcated ducks and gadwalls were both consistent with no intra-locus recombination.¹⁰ Therefore, selection on the coding regions of these loci coupled with hitchhiking could explain the inferred non-neutrality that was detected.

Kraus et al.,⁶⁵ suggested that hybridization among more divergent species of *Anas* ducks likely explained the high number of polymorphisms shared among species. Our models do not account for the possibility of gene flow with these additional species, and it is possible that this confounding variable could explain some of the heterogeneity that we observed. In particular, the high diversity loci might reflect broad introgression. However, broad-scale hybridization cannot fully account for the low diversity found at some loci (e.g. LDHB and CRYAB) without the combined effects of selection preventing the introgression of alleles at those loci. Thus, complete neutrality is unlikely even under this more complex population history.

Differential introgression, divergent selection, and demography

Heterogeneity in genetic divergence across the genome of divergent taxa is expected given a role of divergent selection. The counteraction between introgression and divergent selection prevents complete homogenization of genomes when divergent selection restricts gene flow at some loci. Therefore, these loci can have higher genetic differentiation than neutral loci.^{29,33,66–69} In accordance with the predictions of differential introgression caused by divergent selection, this study detected several outlier loci that exhibited higher genetic differentiation than expected under neutrality (Figure

6). The same outlier loci were observed under all three models of introgression. Despite evidence of introgression from falcated duck into the NW gadwall and limited gene flow between falcated duck and OW gadwall, the same four loci were detected as outliers in both comparisons. On the other hand, Φ ST values for these loci were consistent with expectations under neutrality between OW and NW gadwalls, suggesting inter-specific selective pressures. In particular, the sex-linked locus CHD1Z was consistently an outlier and empirical data from numerous taxa suggest that the Z-chromosome is often less likely to introgress than autosomal chromosomes.^{40,70,71} We found evidence of higher nuDNA introgression in allopatry, which was consistent with patterns observed in mtDNA.^{36,38}

Infrequent sightings of male falcated ducks in North America,

(http://www.fws.gov/sacramentovalleyrefuges/wo_sightings.html) raises the speculation of ongoing gene flow with NW gadwalls in accordance with Hubbs's Principle or Desperation hypothesis, which predicts hybridization when one species is rare in sympatry.⁷² Absence of conspecifics and restricted mate choice in North America could cause these rare Asian visitors to hybridize with the more abundant gadwalls, as has been demonstrated in other species of ducks.⁶⁸ Alternatively, the introgression of falcated duck genes into NW gadwalls could be explained by ancient introgression.³⁸ Genetic evidence suggests that the gadwall colonized North America from Eurasia during the late Pleistocene.³⁶ If a falcated duck or a hybrid was among the original founders, then it could have had a large genetic contribution to the extant gene pool. The observation that some mtDNA haplotype in NW gadwall were similar to, but not shared with, falcated duck haplotype is consistent with this scenario.³⁸ Furthermore, our model that allowed only ancient introgression converged better than the other migration models, suggesting that it might be a more appropriate model. Unfortunately, distinguishing between ancient gene flow and secondary contact can be difficult with genetic data^{46,58} preventing conclusive tests of these hypotheses.

Conclusion

We conclude that gene flow between falcated ducks and gadwalls fails to explain the among-locus heterogeneity in genetic diversity and differentiation observed in these taxa. Simulating models of introgression under neutrality failed to explain the high empirical diversity for some loci (GHRL, LCAT and MSTN) and lower empirical diversity observed for other loci (LDHB and GRIN1). Inter-specifically, CRYAB and LDHB were strong outliers with exceptionally high values of Φ ST, and these two loci were also among the most structured between OW and NW gadwall. We suggest CRYAB and LDHB as strong candidate loci under positive selection, perhaps resulting from low recombination and high linkage disequilibrium with polymorphisms in coding regions. Selection might also have had a major effect on other loci, such as CHD1Z, thereby contributing to the strong among-locus heterogeneity in genetic diversity and differentiation. Although it is impossible to reject all possible neutral scenarios that might have contributed to the observed heterogeneity, selection has likely had an important contribution.^{73–77}

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Conflict of interest

Authors declare that their in no conflict of interest.

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